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UNIVERSITÄT
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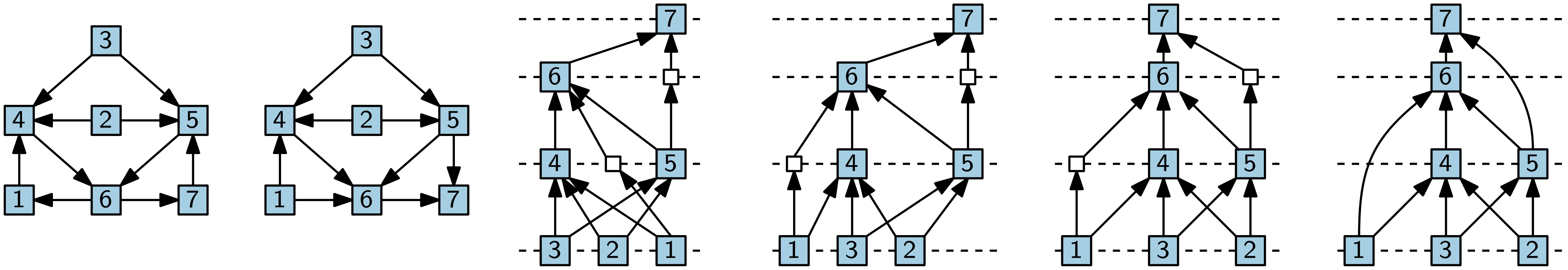
Visualisation of graphs

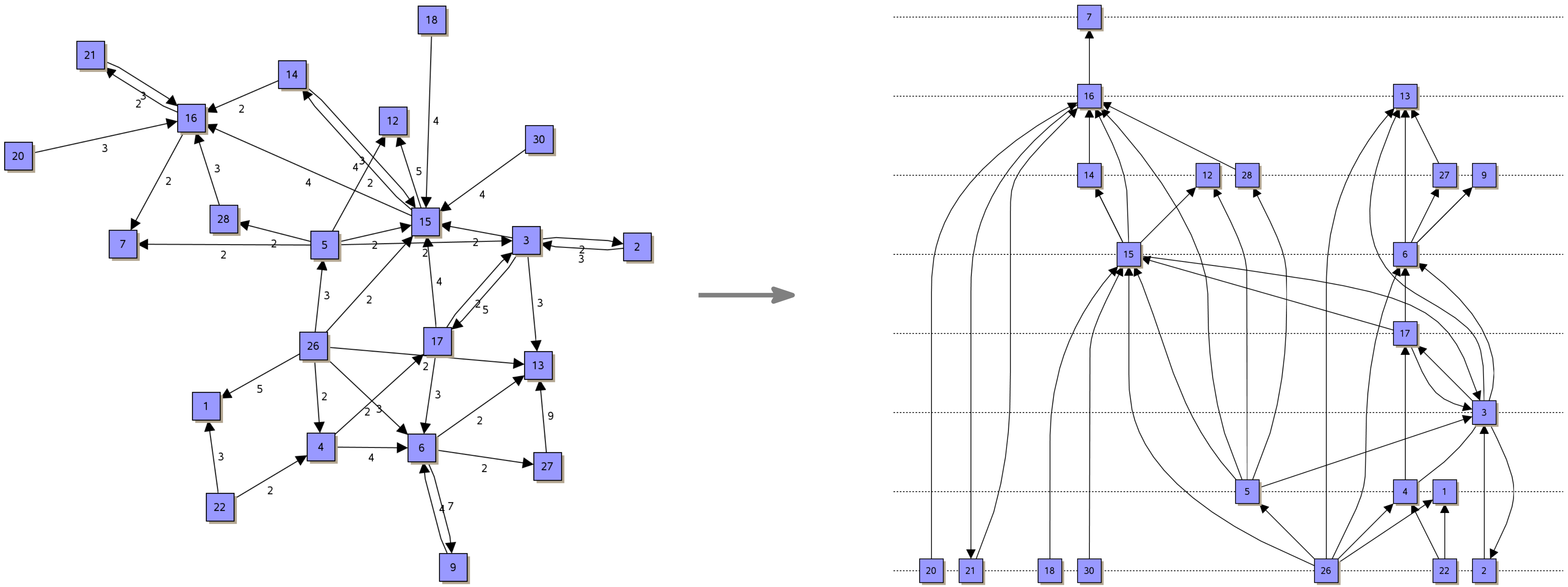
Hierarchical layouts

Sugiyama framework

Jonathan Klawitter · Summer semester 2020



Hierarchical drawings – motivation



Hierarchical drawing

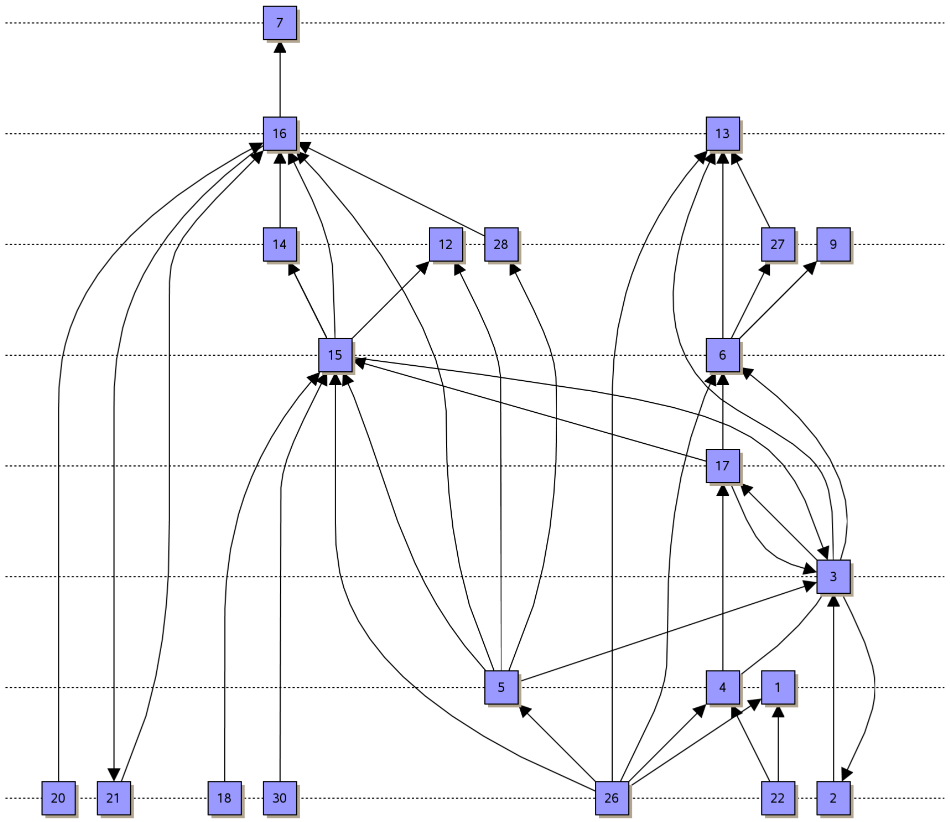
Problem statement.

- Input: digraph $G = (V, E)$
- Output: drawing of G that “closely” reproduces the hierarchical properties of G

Desireable properties.

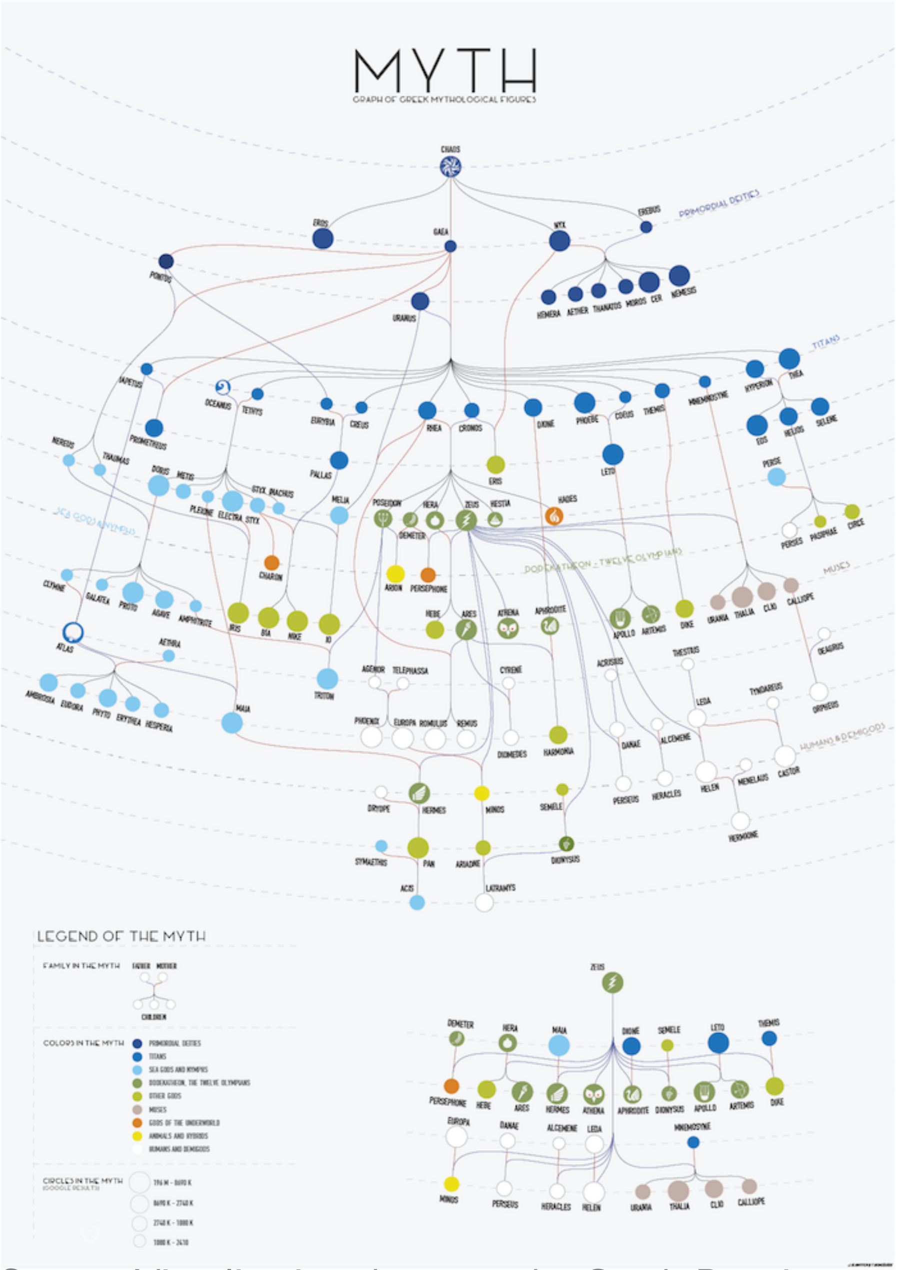
- vertices occur on (few) horizontal lines
- edges directed upwards
- edge crossings minimized
- edges upward, straight, and short as possible
- vertices evenly spaced

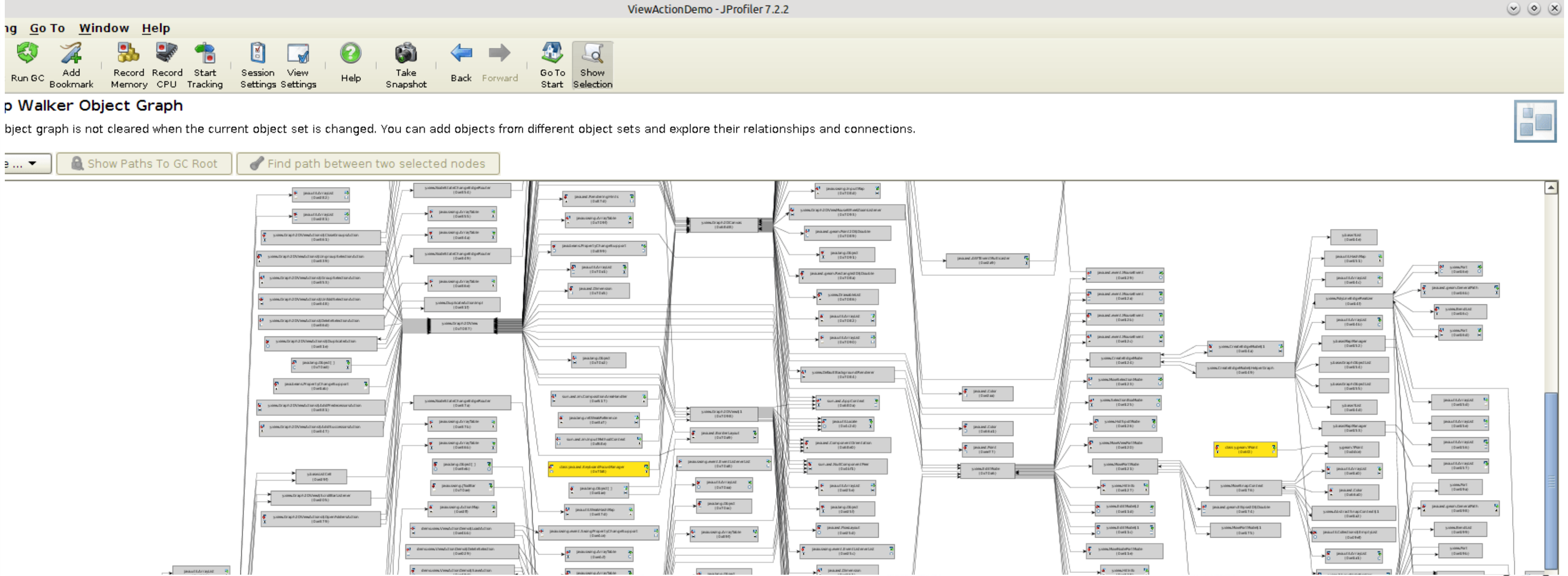
Criteria can be contradictory!

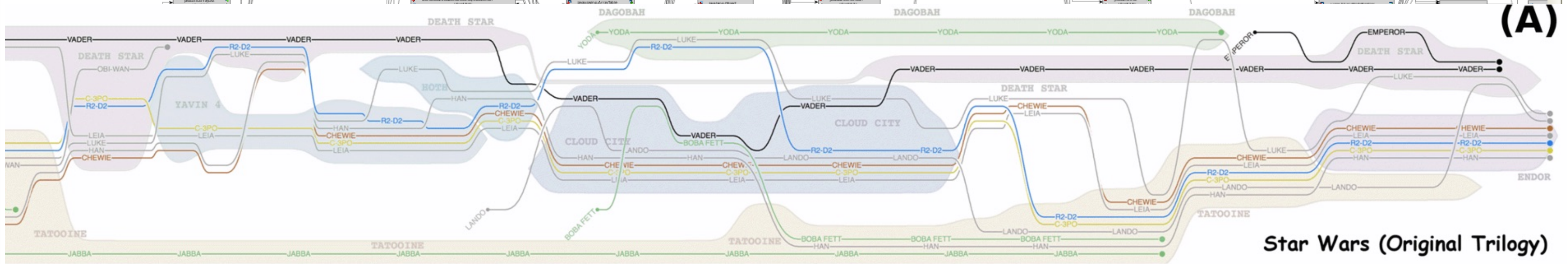


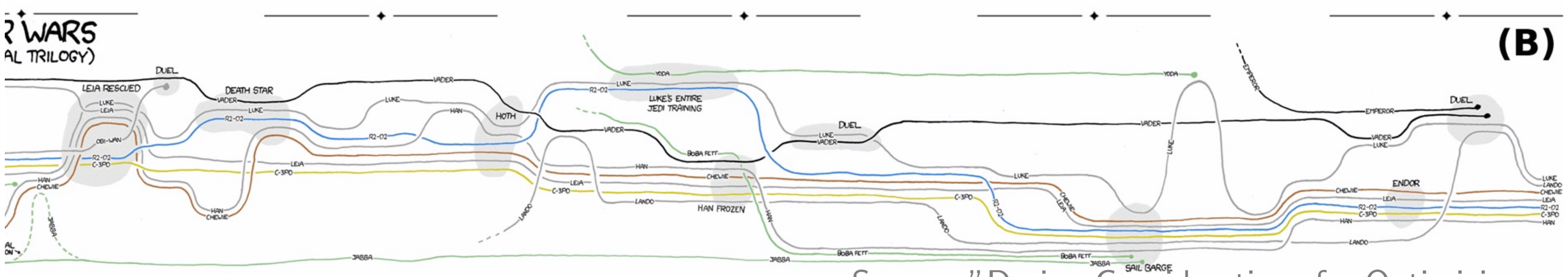
Hierarchical drawing – applications

yEd Gallery: Java profiler JProfiler using yFiles







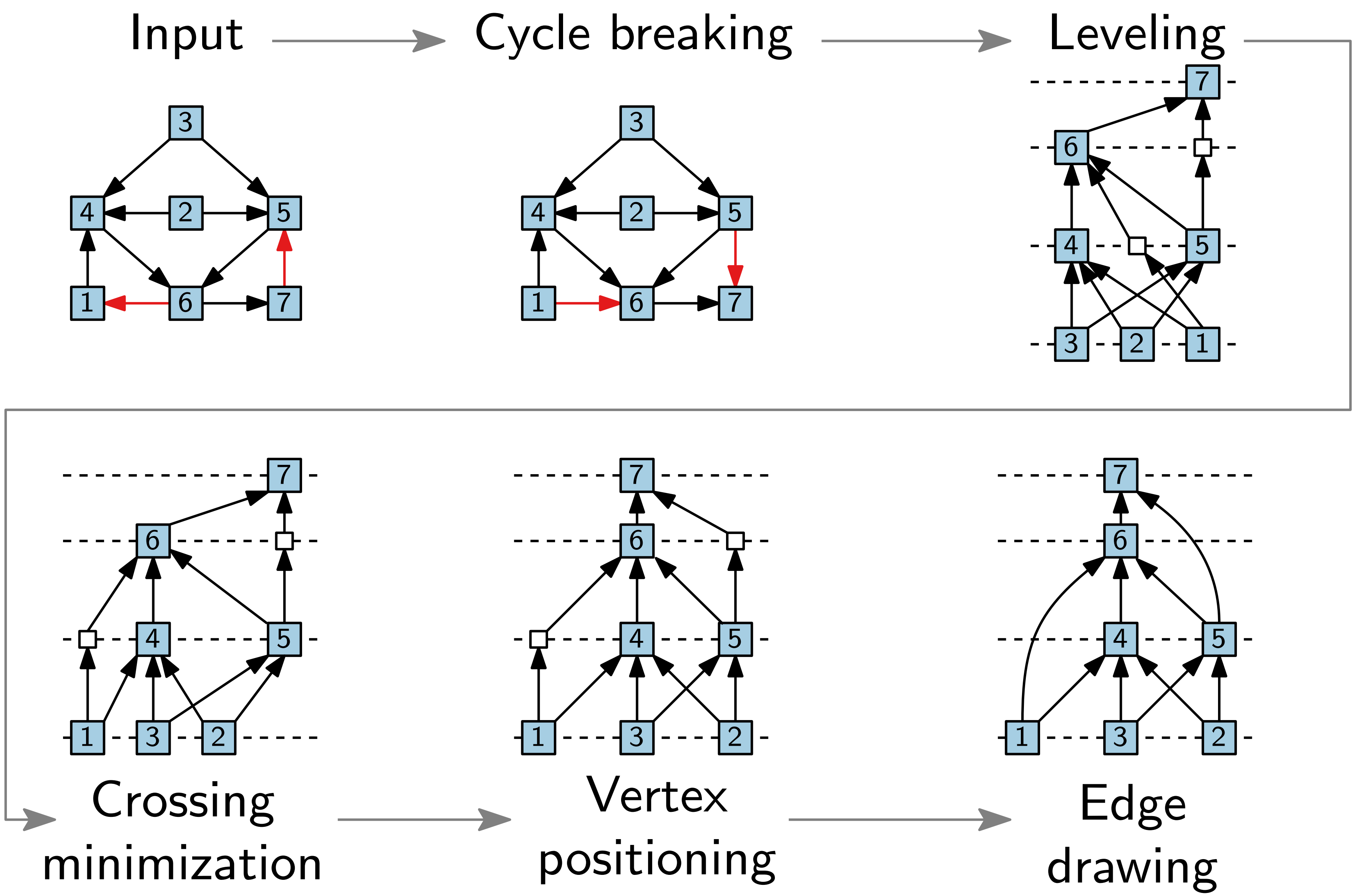


Source: Visualization that won the Graph Drawing contest 2016. Klawitter & Mchedlidze

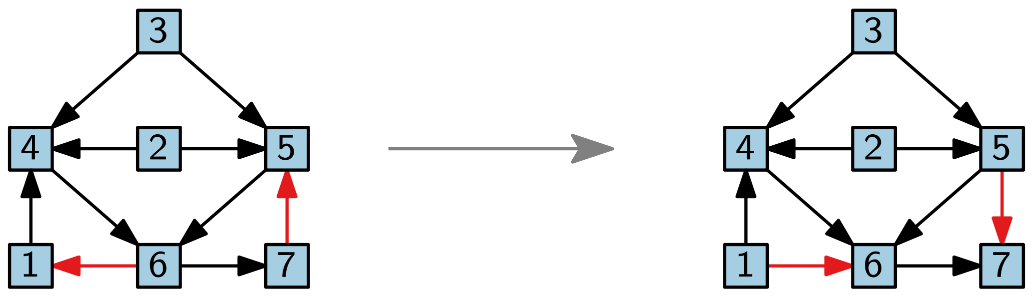
Source: "Design Considerations for Optimizing Storyline Visualizations" Tanahashi et al.

Classical approach – Sugiyama framework

[Sugiyama, Tagawa, Toda '81]



Step 1: Cycle breaking



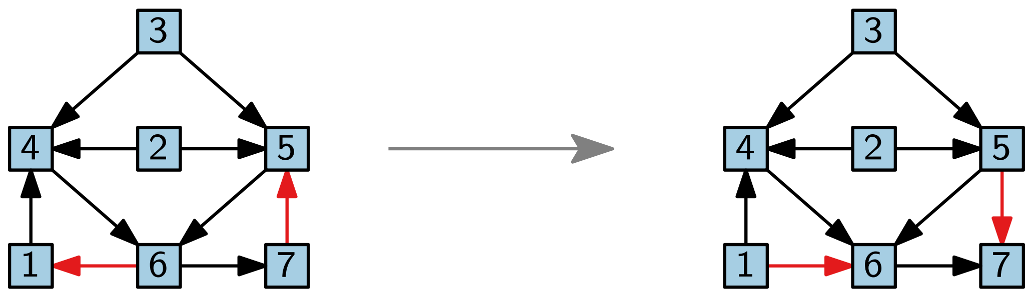
Approach.

- Find minimum set $E^\star$ of edges which are not upwards.
- Remove $E^\star$ and insert reversed edges.

Problem MINIMUM FEEDBACK ~~ARC~~ SET **(F~~A~~S).**

- Input: directed graph $G = (V, E)$
- Output: min. set $E^\star \subseteq E$, so that ~~$G - E^\star$~~ $G - E^\star + E_r^\star$ acyclic

Step 1: Cycle breaking



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... NP-hard :-(

Heuristic 1

[Berger, Shor '90]

GreedyMakeAcyclic(Digraph $G = (V, E)$)

$E' \leftarrow \emptyset$

foreach $v \in V$ **do**

  if $|N^{\rightarrow}(v)| \geq |N^{\leftarrow}(v)|$ **then**

    $E' \leftarrow E' \cup N^{\rightarrow}(v)$

  else

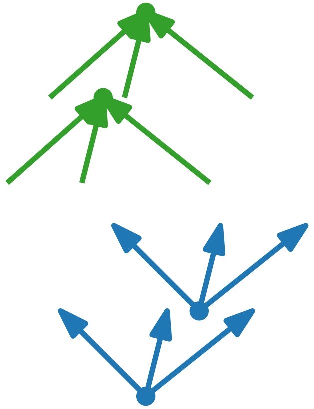
    $E' \leftarrow E' \cup N^{\leftarrow}(v)$

  remove v and $N(v)$ from G.

return (V, E')

$$N^{\rightarrow}(v) := \{(v,u) | (v,u) \in E\}$$
$$N^{\leftarrow}(v) := \{(u,v) | (u,v) \in E\}$$
$$N(v) := N^{\rightarrow}(v) \cup N^{\leftarrow}(v)$$

- $G' = (V, E')$ is a DAG
  - we create an order on V
- $E \setminus E'$ is a feedback arc set



- Time: $\mathcal{O}(|V| + |E|)$
- Quality guarantee: $|E'| \geq |E|/2$

Heuristic 2

[Eades, Lin, Smyth '93]

$E' \leftarrow \emptyset$

while $V \neq \emptyset$ **do**

  while in V exists a sink v **do**

    $E' \leftarrow E' \cup N^{\leftarrow}(v)$

    remove v and $N^{\leftarrow}(v)$

  Remove all isolated vertices from V

  while in V exists a source v **do**

    $E' \leftarrow E' \cup N^{\rightarrow}(v)$

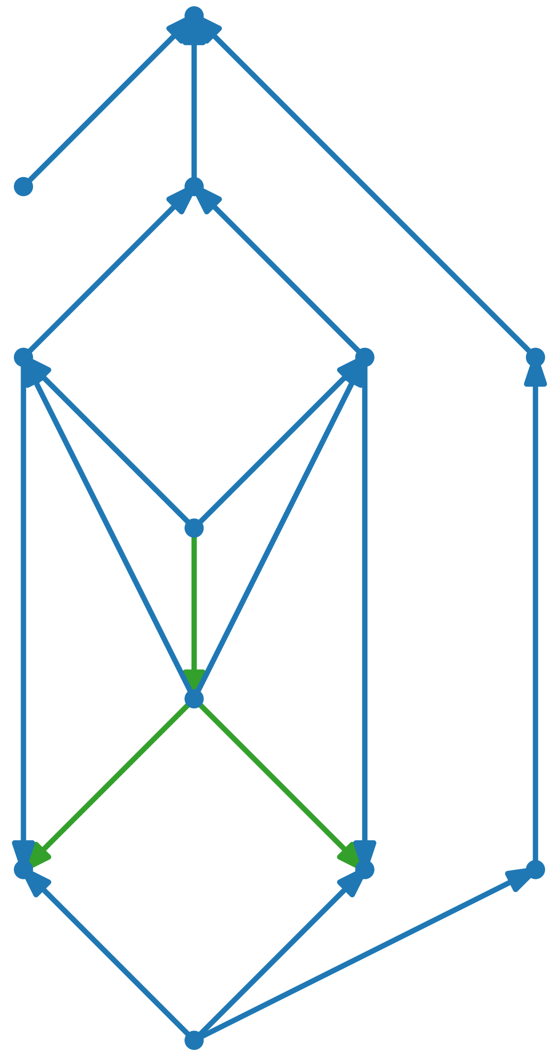
    remove v and $N^{\rightarrow}(v)$

  if $V \neq \emptyset$ **then**

    let $v \in V$ such that $|N^{\rightarrow}(v)| - |N^{\leftarrow}(v)|$ maximal;

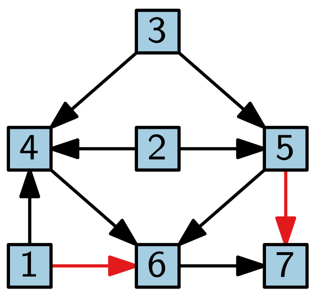
    $E' \leftarrow E' \cup N^{\rightarrow}(v)$

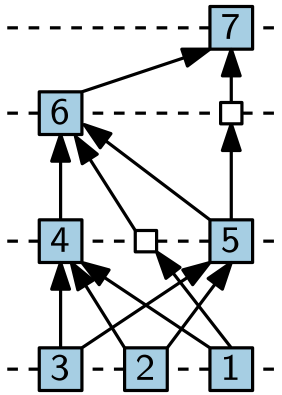
    remove v and $N(v)$



- Time: $\mathcal{O}(|V| + |E|)$
- Quality guarantee: $|E'| \geq |E|/2 + |V|/6$

Step 2: Leveling





Problem.

- Input: acyclic, digraph $G = (V, E)$
- Output: Mapping $y \colon V \to \{1, \ldots, |V|\}$, so that for every $uv \in A$, $y(u) < y(v)$.

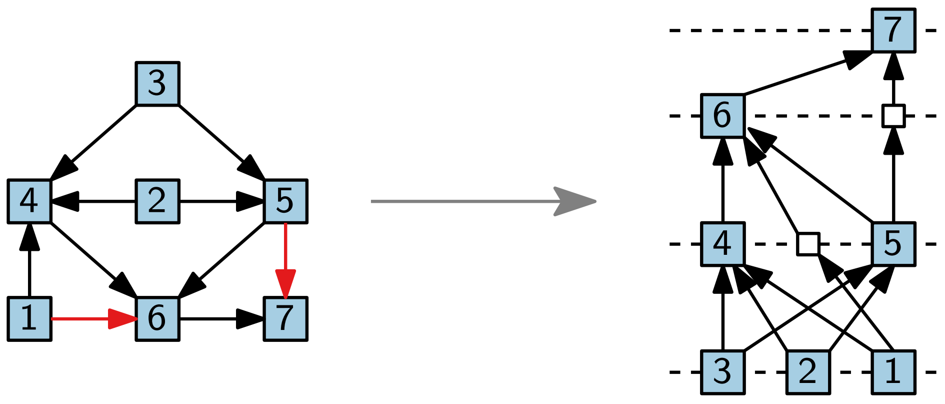
Objective is to *minimize* . . .

- number of layers, i.e. $|y(V)|$
- length of the longest edge, i.e. $\max_{uv \in A} y(v) - y(u)$
- width, i.e. $\max\{|L_i| \mid 1 \leq i \leq h\}$
- total edge length, i.e. number of dummy vertices

Min number of layers

Algorithm.

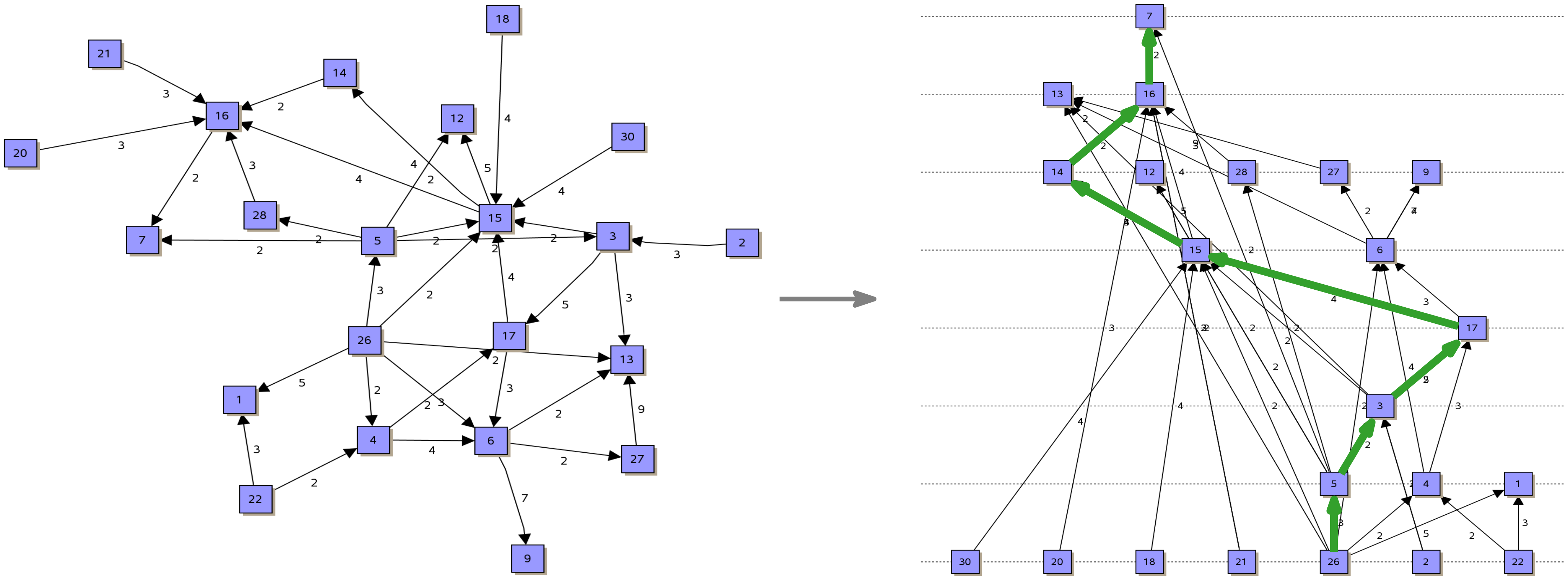
- for each source q
  set $y(q) := 1$
- for each non-source v
  set $y(v) := \max \{y(u) \mid uv \in E\} + 1$



Observation.

- $y(v)$ is length of the longest path from a source to v plus 1.
  …which is optimal!
- Can be implemented in linear time with recursive algorithm.

Example



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Total edge length – ILP

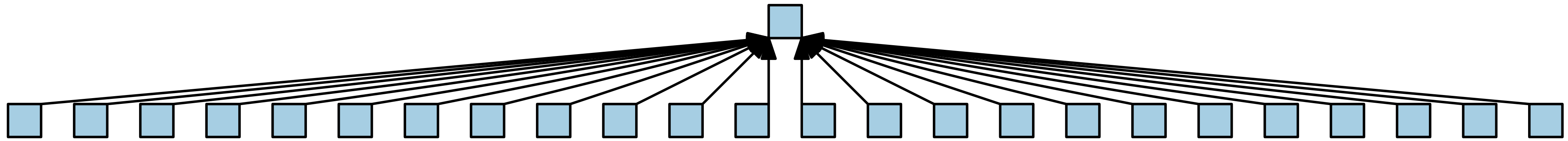
Can be formulated as an integer linear program:

$$\begin{aligned} \text{min} \quad & \textstyle\sum_{(u,v)\in E}(y(v)-y(u)) & \\ \text{subject to} \quad & y(v)-y(u)\geq 1 & \forall (u,v)\in E \\ & y(v)\geq 1 & \forall v\in V \\ & y(v)\in \mathbb{Z} & \forall v\in V \end{aligned}$$

One can show that:

- Constraint-matrix is **totally unimodular**
  $\Rightarrow$ Solution of the relaxed linear program is integer
- The total edge length can be minimized in polynomial time

Width



Drawings can be very wide.

Narrower layer assignment

Problem: Leveling with a given width.

- Input: acyclic, digraph $G = (V, E)$, width $W > 0$
- Output: Partition the vertex set into a minimum number of layers such that each layer contains at most W elements.

Problem: Precedence-Constrained Multi-Processor Scheduling

- Input: n jobs with unit (1) processing time, W identical machines, and a partial ordering $<$ on the jobs.
- Output: Schedule respecting $<$ and having minimum processing time.

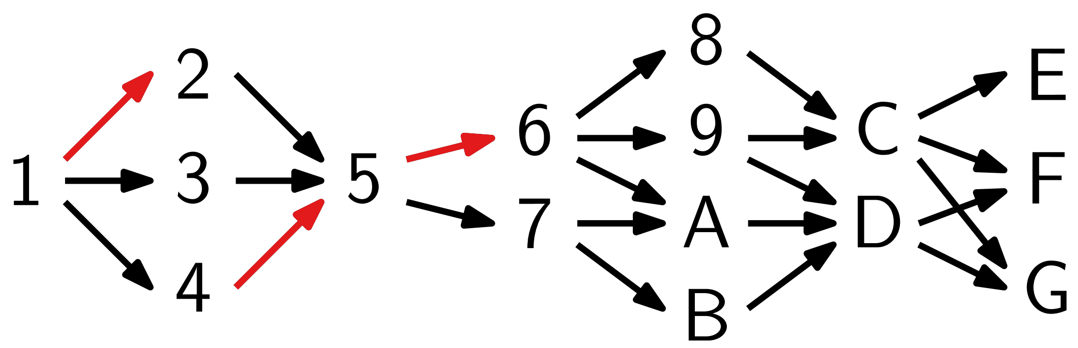
- NP-hard, $(2 - \frac{2}{W})$-Approx., no $(\frac{4}{3} - \varepsilon)$-Approx. $(W \geq 3)$.

Approximating PCMPS

- jobs stored in a list L
  (in any order, e.g., topologically sorted)
- for each time $t = 1, 2, \ldots$ schedule $\leq W$ available jobs
- a job in L is *available* when all its predecessors have been scheduled
- as long as there are free machines and available jobs, take the first available job and assign it to a free machine

Approximating PCMPS

Input: Precedence graph (divided into layers of arbitrary width)



Number of Machines is $W = 2$.

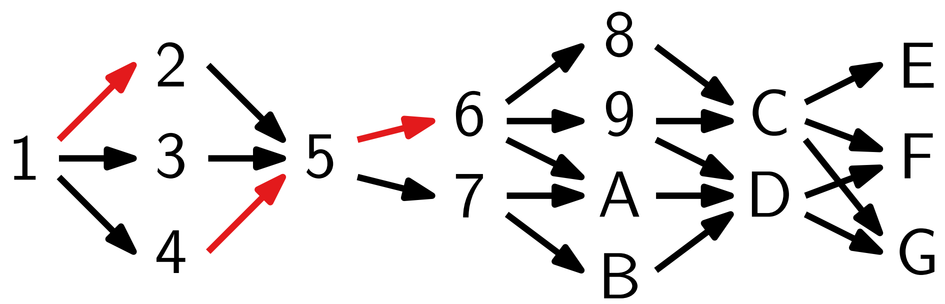
Output: Schedule

M_1	1	2	4	5	6	8	A	C	E	G
M_2	–	3	–	–	7	9	B	D	F	–
t	1	2	3	4	5	6	7	8	9	10

Question: Good approximation factor?

Approximating PCMPS - analysis for $W = 2$

Precedence graph $G_<$



Schedule

M_1	1	2	4	5	6	8	A	C	E	G
M_2	–	3	–	–	7	9	B	D	F	–
t	1	2	3	4	5	6	7	8	9	10

„The art of the lower bound“

OPT $\geq \lceil n/2 \rceil$ and OPT $\geq \ell :=$ Number of layers of $G_<$

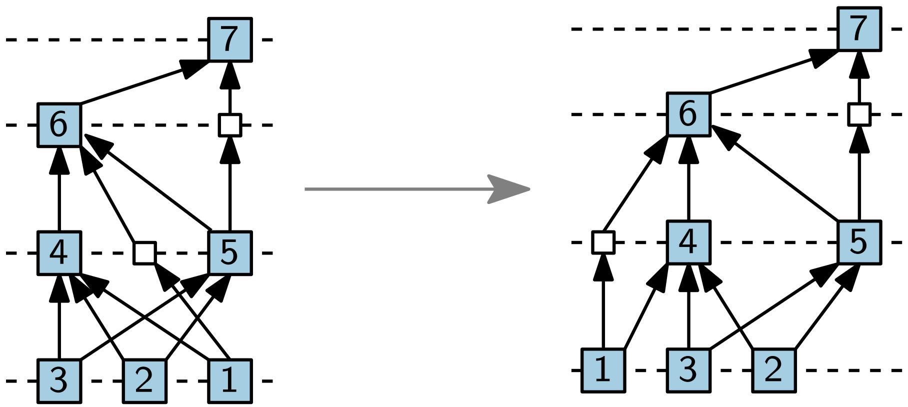
Goal: measure the quality of our algorithm using the lower bounds

$\leq (2 - 1/W) \cdot$ OPT in general case

Bound. ALG $\leq \left\lceil \frac{n+\ell}{2} \right\rceil \approx \lceil n/2 \rceil + \ell/2 \leq 3/2 \cdot$ OPT

insertion of pauses (–) in the schedule (except the last) maps to layers of $G_<$

Step 3: Crossing minimization



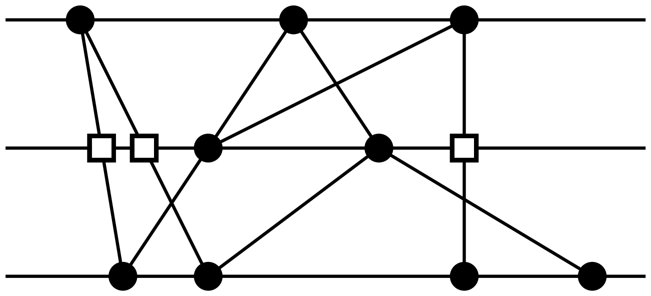
Problem.

- Input: Graph G, layering $y\colon V \to \{1, \ldots, |V|\}$
- Output: (Re-)ordering of vertices in each layer so that the number of crossings in minimized.

- NP-hard, even for 2 layers [Garey & Johnson '83]
- hardly any approaches optimize over multiple layers :(

Iterative crossing reduction – idea

Observation.
The number of crossings only depends on permutations of adjacent layers.



- Add dummy-vertices for edges connecting “far” layers.
- Consider adjacent layers $(L_1, L_2), (L_2, L_3), \ldots$ bottom-to-top.
- Minimize crossings by permuting L_{i+1} while keeping L_i fixed.

Iterative crossing reduction – algorithm

(1) choose a random permutation of L_1

(2) iteratively consider adjacent layers L_i and L_{i+1}

(3) minimize crossings by permuting L_{i+1} and keeping L_i fixed *one-sided crossing minimization*

(4) repeat steps (2)–(3) in the reverse order (starting from L_h)

(5) repeat steps (2)–(4) until no further improvement is achieved

(6) repeat steps (1)–(5) with different starting permutations

One-sided crossing minimization

Problem.

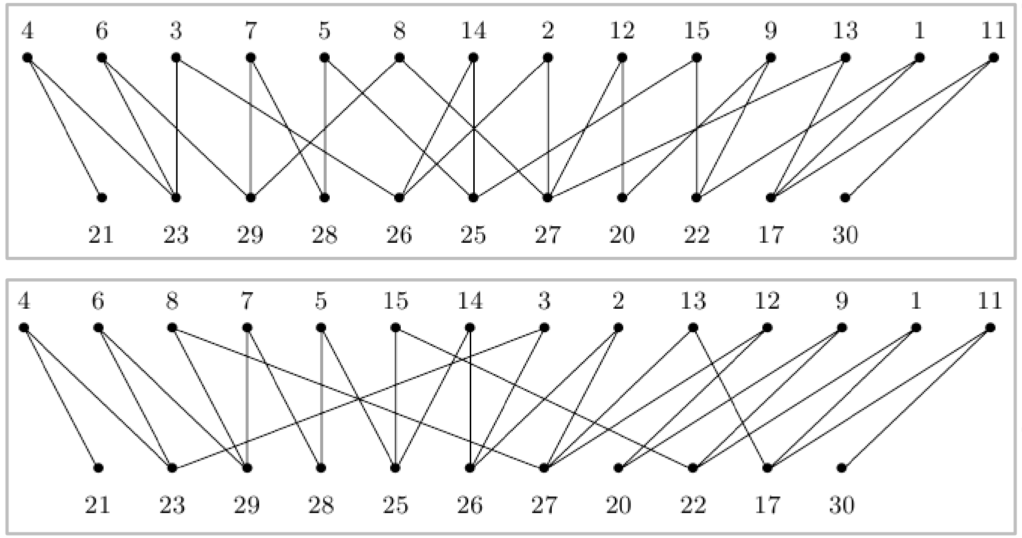
- Input: bipartite graph $G = (L_1 \cup L_2, E)$, permutation π_1 on L_1
- Output: permutation π_2 of L_2 minimizing the number of edge crossings.

One-sided crossing minimization is NP-hard.
[Eades & Whitesides '94]

Algorithms.

- barycenter heuristic
- median heuristic
- Greedy-Switch
- ILP

...



Barycentre heuristic

[Sugiyama et al. '81]

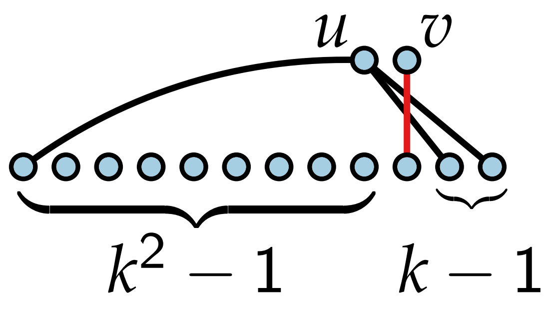
- Intuition: few intersections occur when vertices are close to their neighbours
- The barycentre of u is the average x-coordinate of the neighbours of u in layer L_1 $[x_1 \equiv \pi_1]$

$$x_2(u) := \mathrm{bary}(u) := \frac{1}{\deg(u)} \sum_{v \in N(u)} x_1(v)$$

- Vertices with the same barycentre of are offset by a small δ.

- linear runtime
- relatively good results
- optimal if no crossings are required ← *exercise!*
- $O(\sqrt{n})$-approximation factor

Worst case?



Median heuristic

[Eades & Wormald '94]

- $\{v_1, \ldots, v_k\} := N(u)$ with $\pi_1(v_1) < \pi_1(v_2) < \cdots < \pi_1(v_k)$
- $$x_2(u) := \mathrm{med}(u) := \begin{cases} 0 & \text{when } N(u) = \emptyset \\ \pi_1(v_{\lceil k/2 \rceil}) & \text{otherwise} \end{cases}$$
- move vertices u und v by small δ, when $x_2(u) = x_2(v)$

- linear runtime
- relatively good results
- optimal, if no crossings are required
- 3-approximation factor
  proof in [GD Ch 11]

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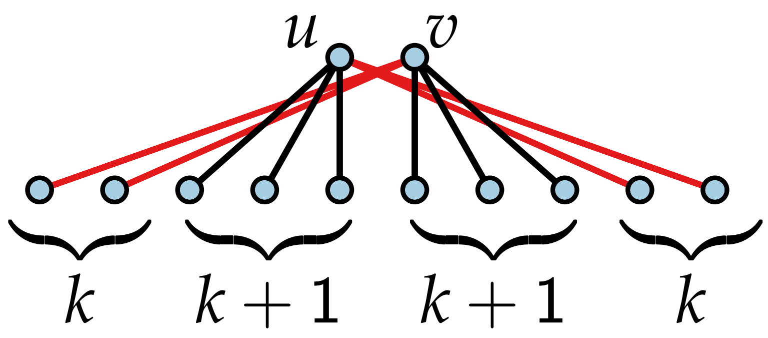
Worst case?

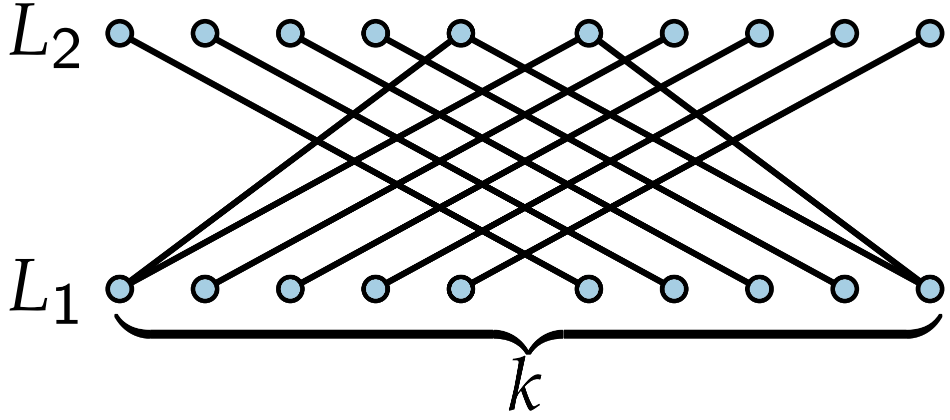


$2k(k+1) + k^2$ vs. $(k+1)^2$

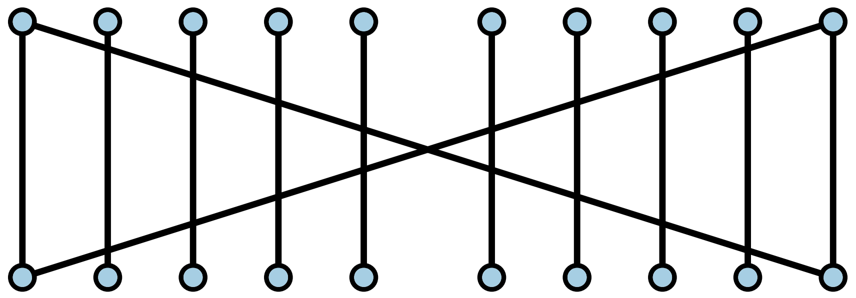
Greedy-switch heuristic

- iteratively swap each adjacent node as long as crossings decrease
- runtime $O(L_2)$ per iteration; at most $|L_2|$ iterations
- suitable as post-processing for other heuristics

Worst case?



$\approx k^2/4$

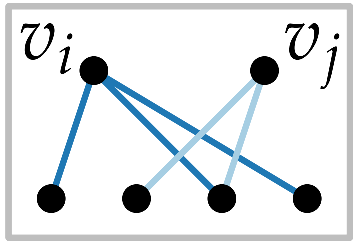


$\approx 2k$

Integer linear program

[Jünger & Mutzel, '97]

- Constant $c_{ij} :=$ # crossings between edges incident to v_i or v_j when $\pi_2(v_i) < \pi_2(v_j)$
- Variable x_{ij} for each $1 \leq i < j \leq n_2 := |L_2|$



$$x_{ij} = \begin{cases} 1 & \text{when } \pi_2(v_i) < \pi_2(v_j) \\ 0 & \text{otherwise} \end{cases}$$

- The number of crossings of a permutations π_2

$$\text{cross}(\pi_2) = \sum_{i=1}^{n_2-1} \sum_{j=i+1}^{n_2} (c_{ij} - c_{ji}) x_{ij} + \underbrace{\sum_{i=1}^{n_2-1} \sum_{j=i+1}^{n_2} c_{ji}}_{\text{constant}}$$

Integer linear program

- Minimize the number of crossings:

$$\text{minimize} \sum_{i=1}^{n_2-1} \sum_{j=i+1}^{n_2} (c_{ij} - c_{ji}) x_{ij}$$

- Transitivity constraints:

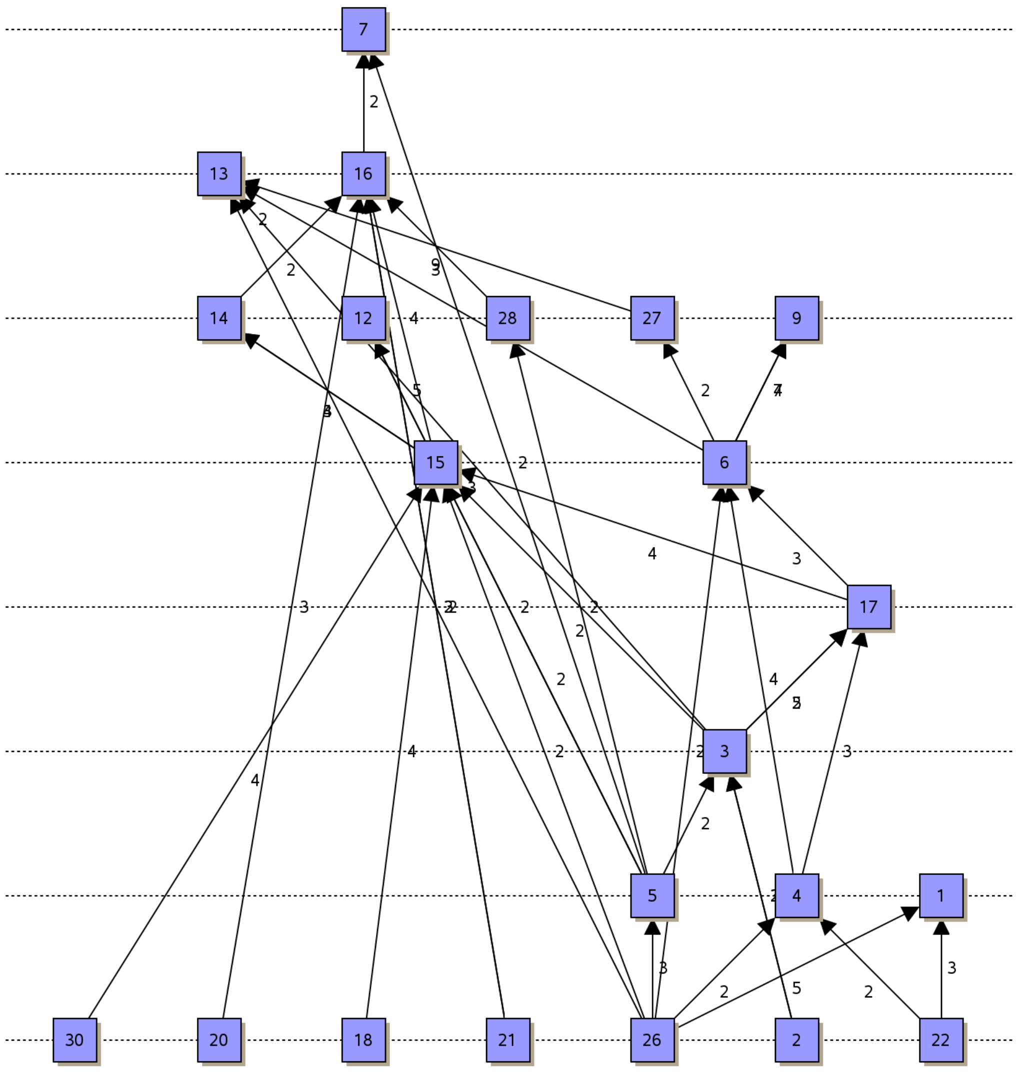
$$0 \leq x_{ij} + x_{jk} - x_{ik} \leq 1 \qquad \text{for } 1 \leq i < j < k \leq n_2$$

i.e., if $x_{ij} = \overset{1}{0}$ and $x_{jk} = \overset{1}{0}$, then $x_{ik} = \overset{1}{0}$

Properties.

- branch-and-cut technique for DAGs of limited size
- useful for graphs of small to medium size
- finds optimal solution
- solution in polynomial time is not guaranteed

Iterations on example



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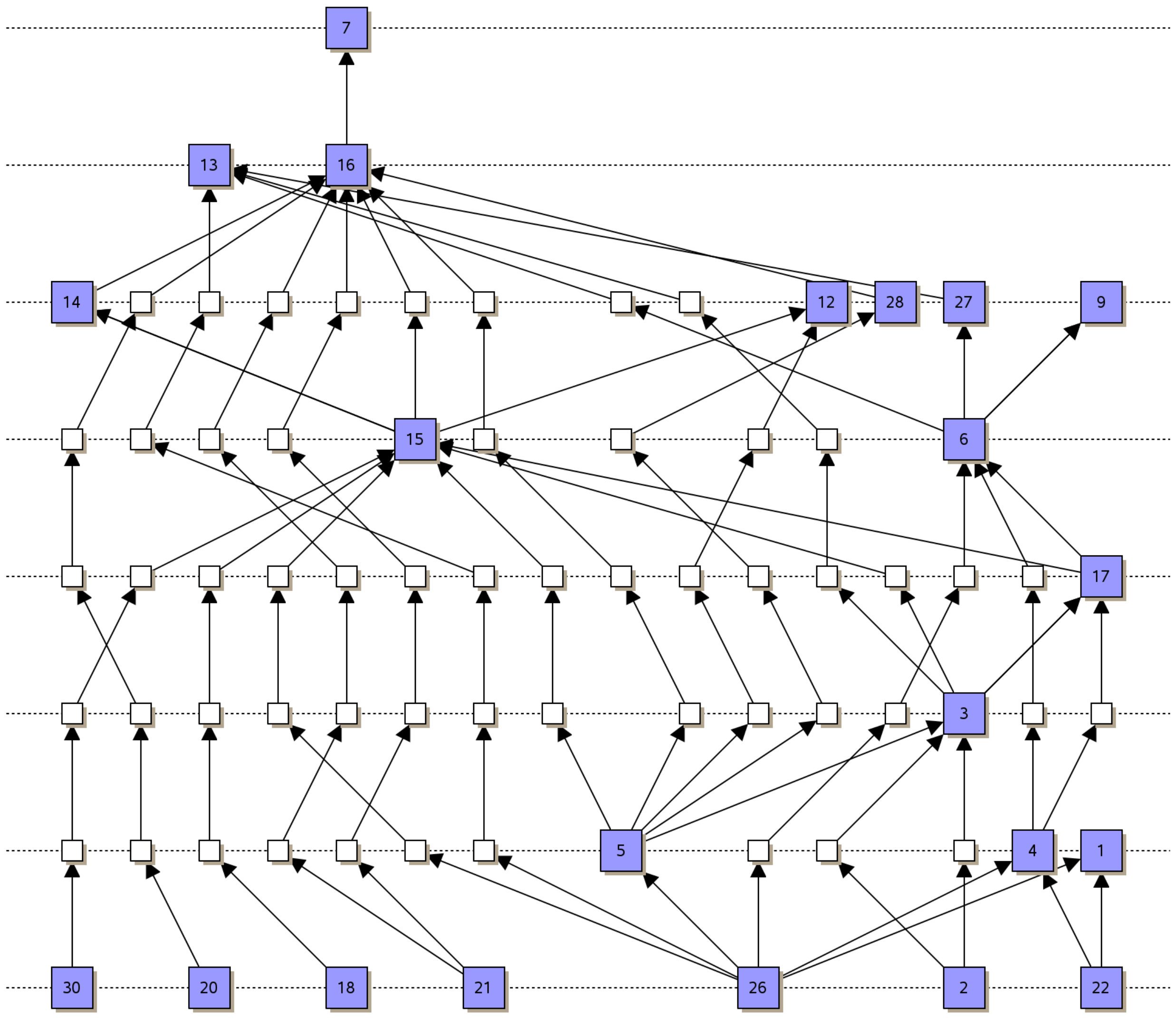

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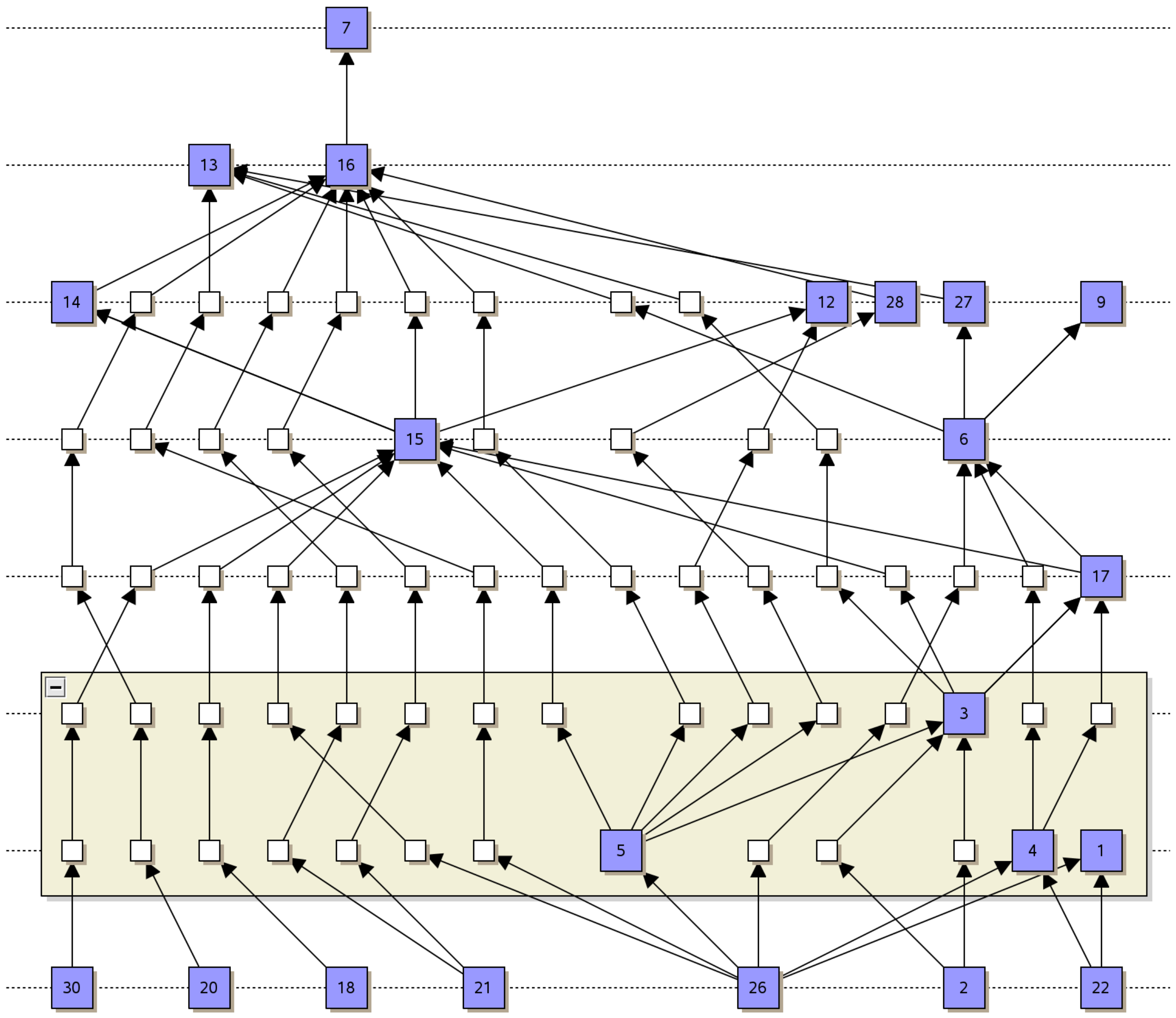
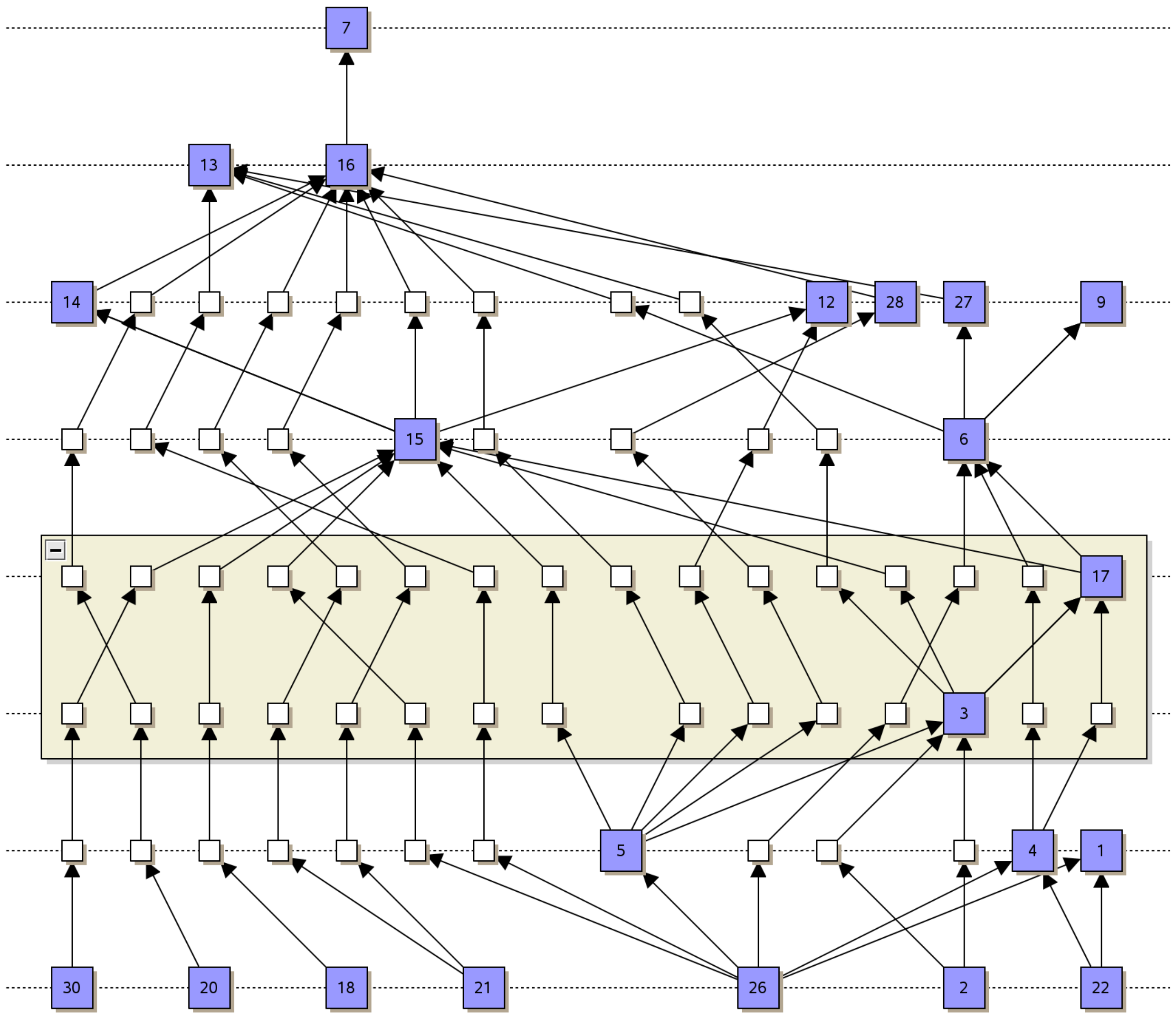
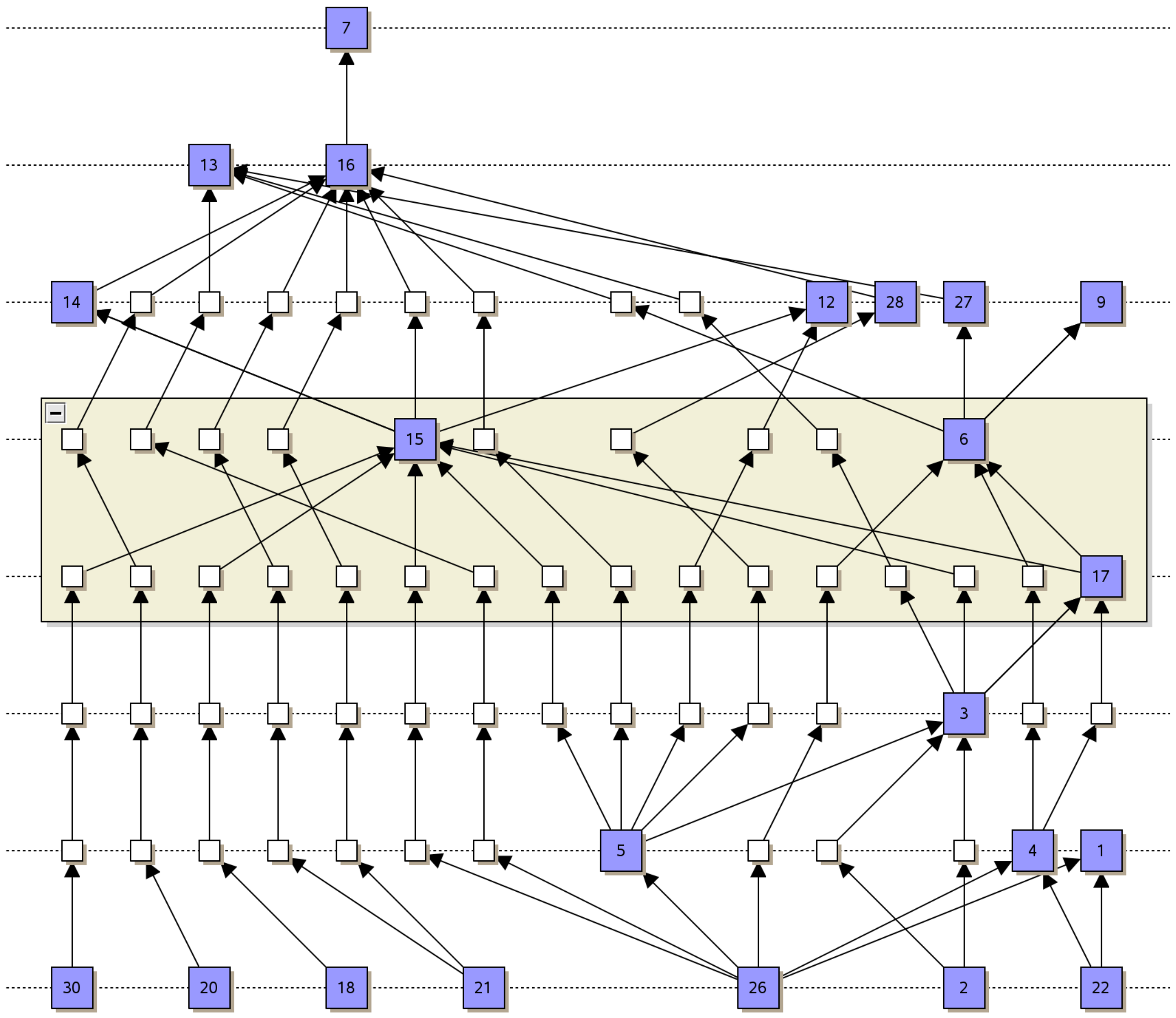


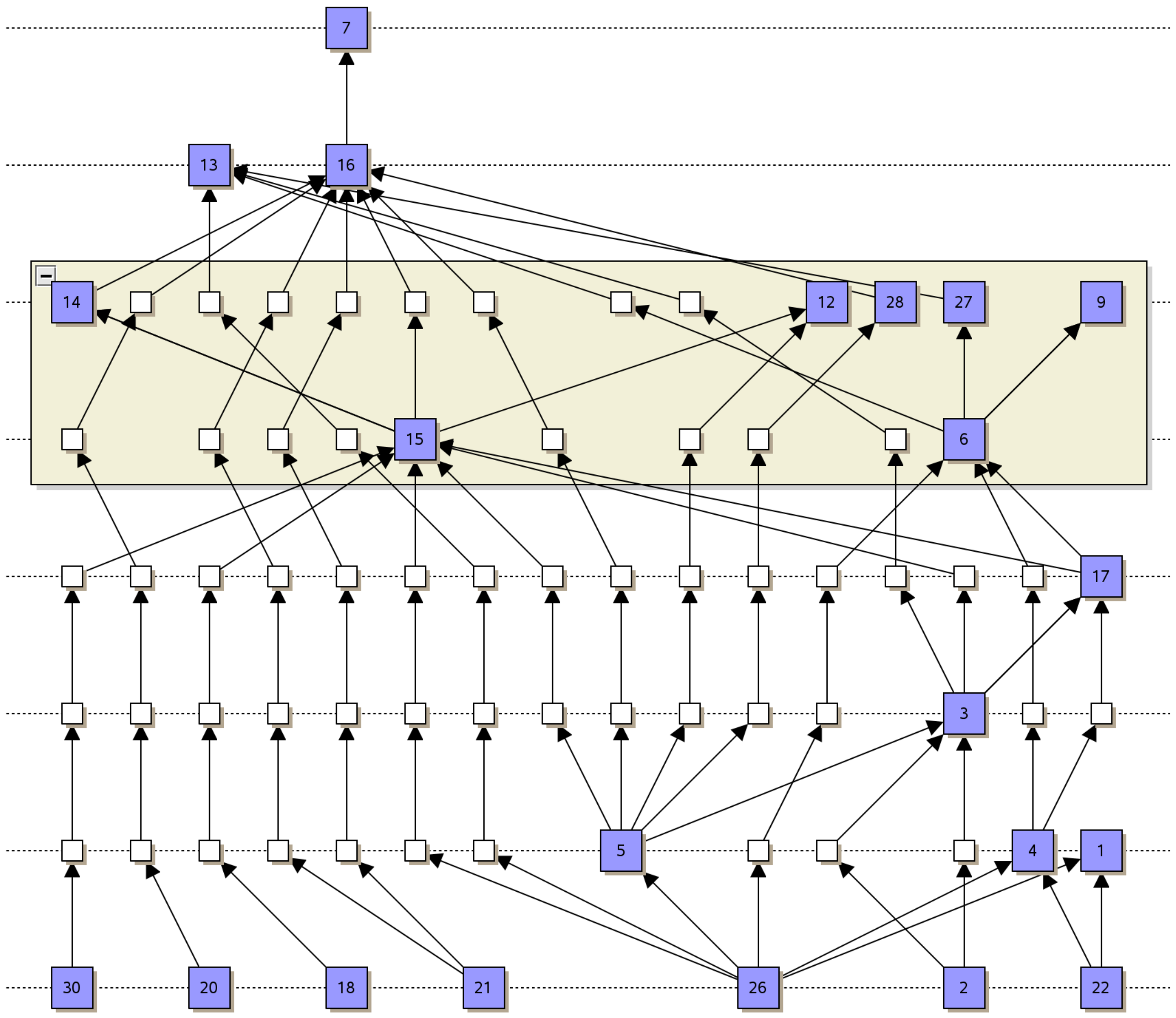
Iterations on example



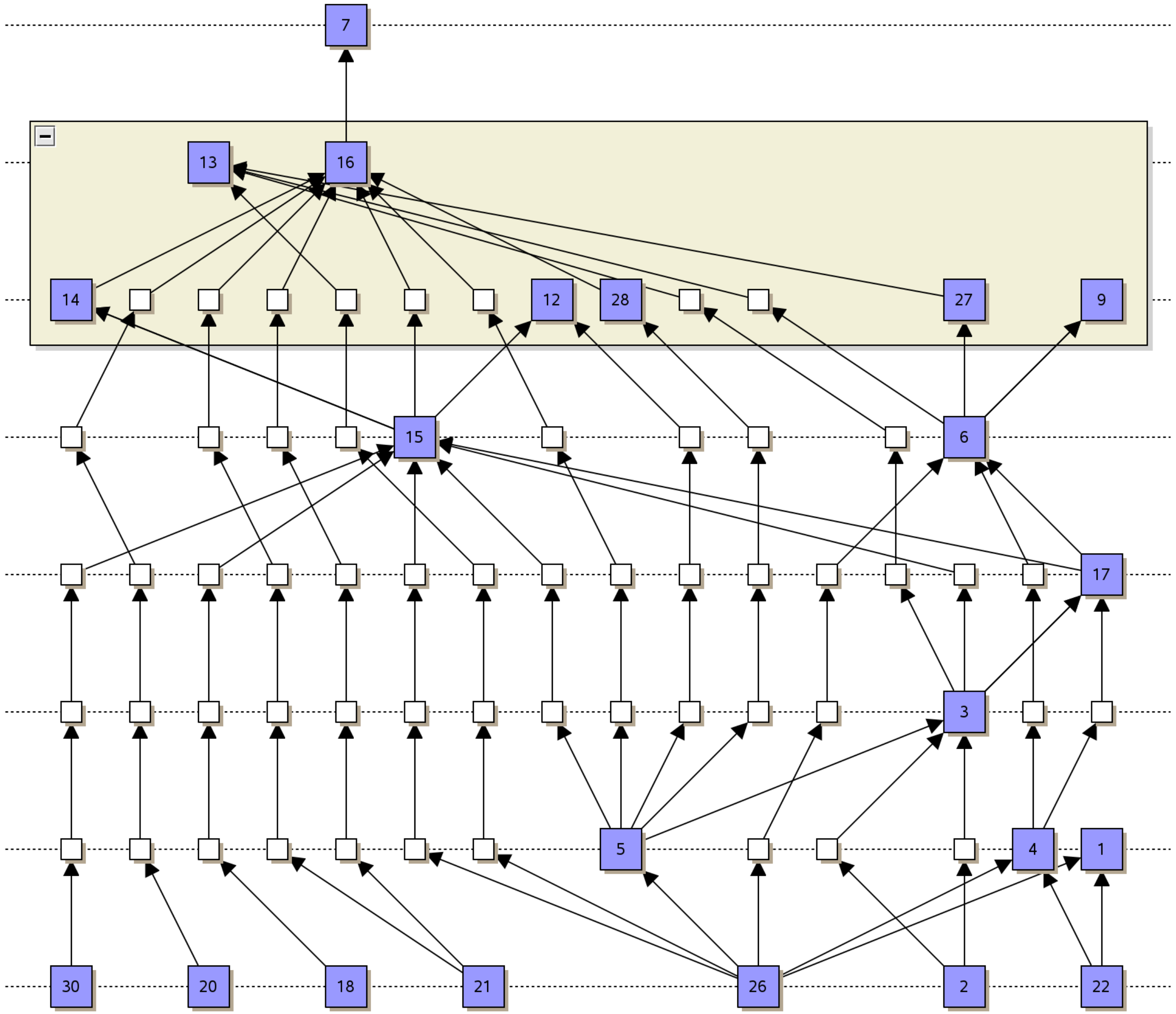
Iterations on example



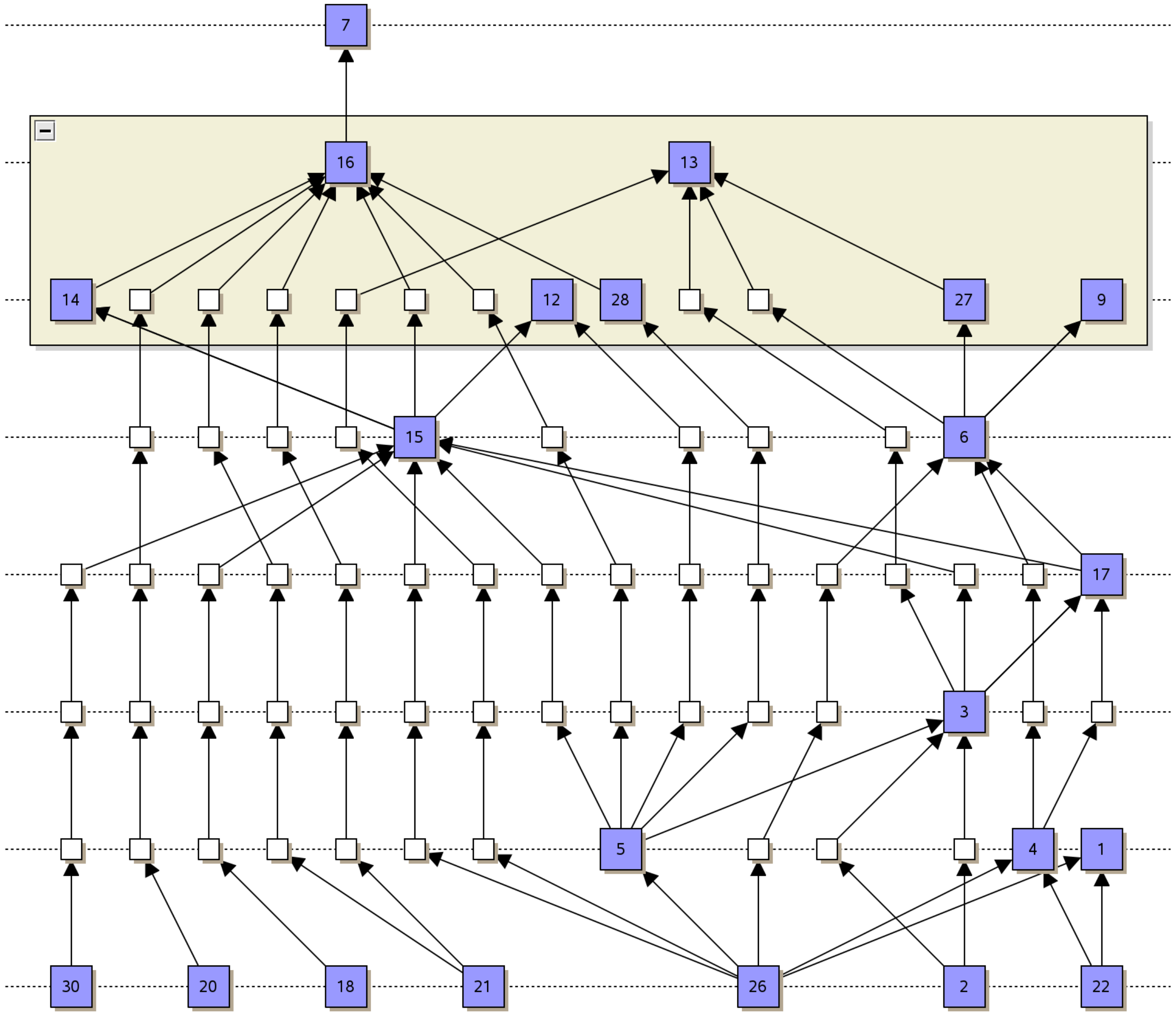
Iterations on example



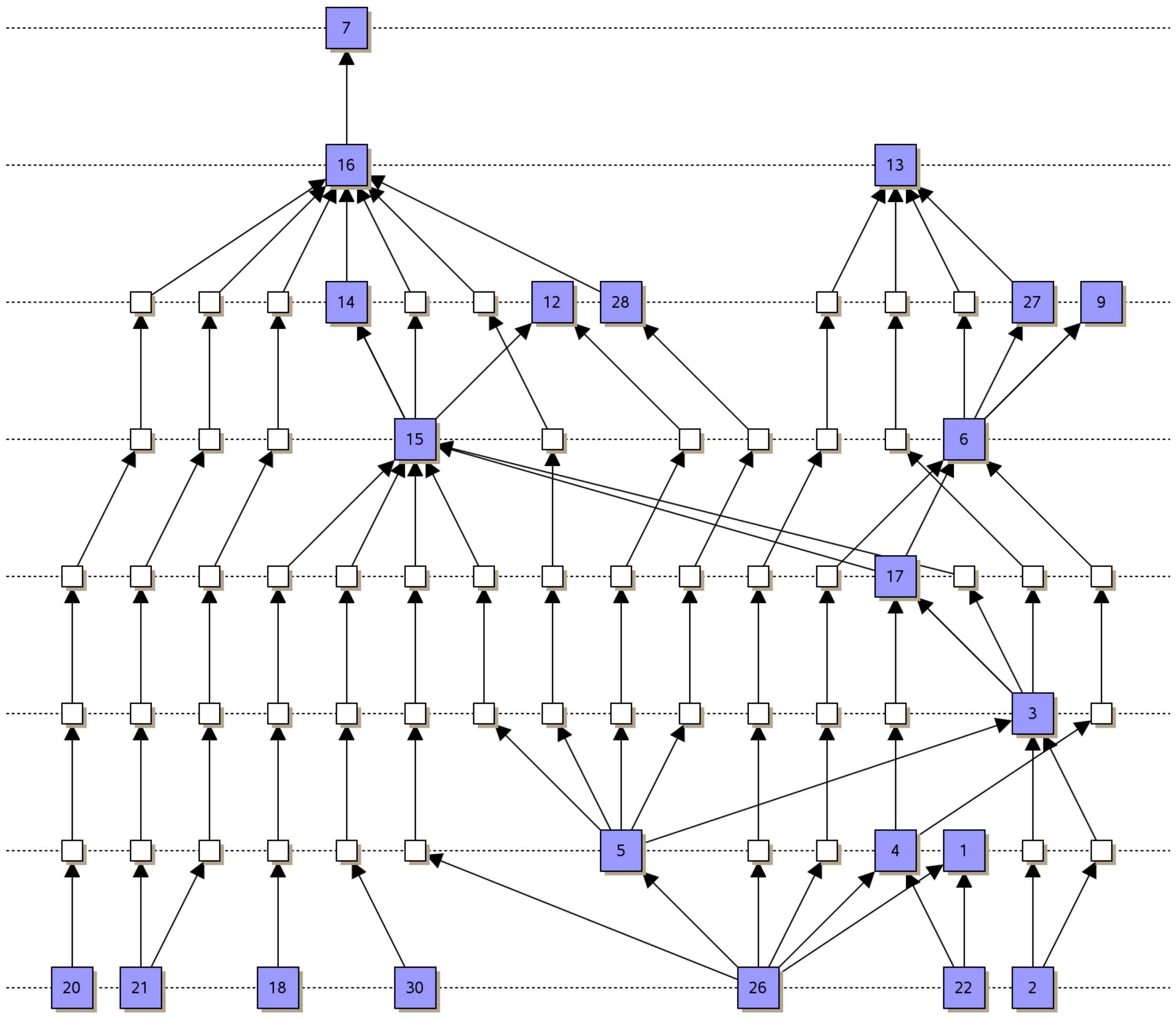
Iterations on example



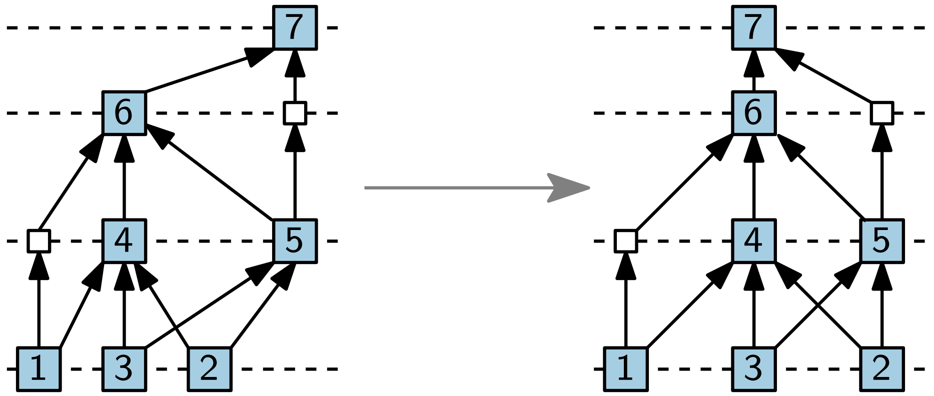
Iterations on example



Iterations on example



Step 4: Vertex positioning



Goal.

paths should be close to straight, vertices evenly spaced

- **Exact:** Quadratic Program (QP)
- **Heuristic:** iterative approach

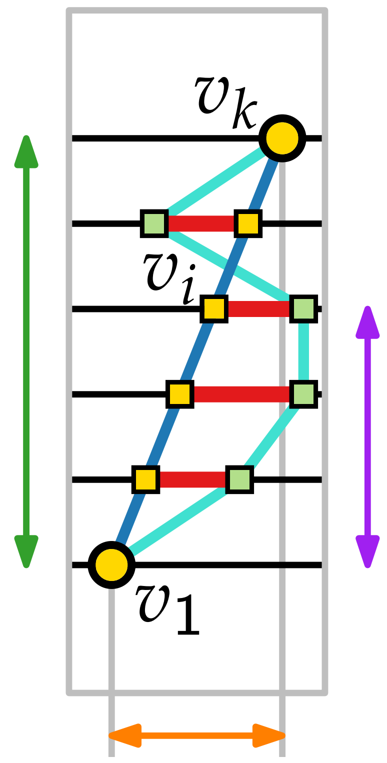
Quadratic Program

- Consider the path $p_e = (v_1, \dots, v_k)$ of an edge $e = v_1 v_k$ with dummy vertices: $v_2, \dots, v_{k-1}$
- x-coordinate of v_i according to the line $\overline{v_1 v_k}$ (with equal spacing):

$$\overline{x(v_i)} = x(v_1) + \frac{i-1}{k-1}\left(x(v_k) - x(v_1)\right)$$

- define the deviation from the line

$$\mathrm{dev}(p_e) := \sum_{i=2}^{k-1} \left(x(v_i) - \overline{x(v_i)}\right)^2$$



- Objective function: $\min \sum_{e \in E} \mathrm{dev}(p_e)$
- Constraints for all vertices v, w in the same layer with w right of v: $x(w) - x(v) \geq \rho(w, v)$

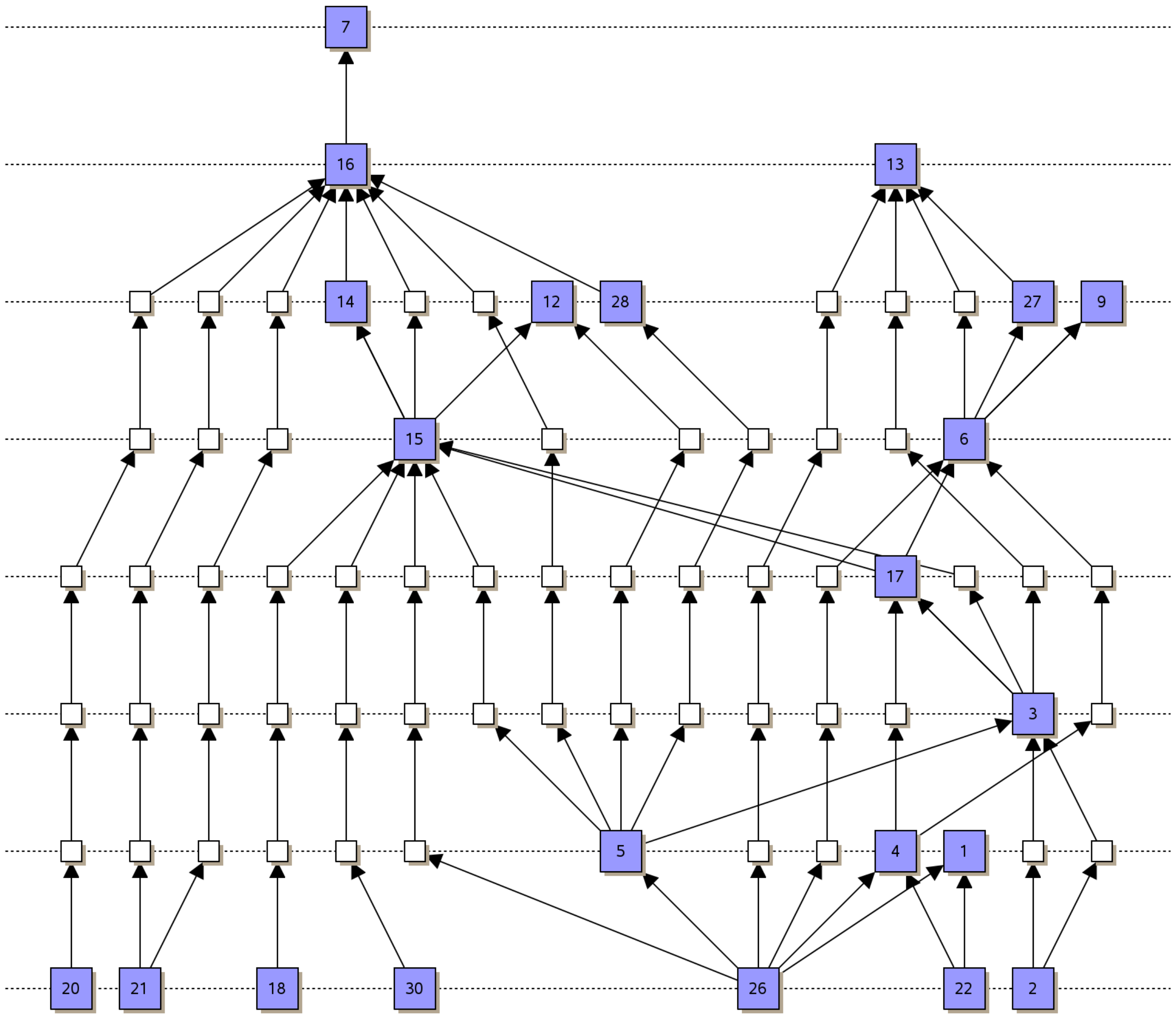
min. horizontal distance

- QP is time-expensive
- width can be exponential

Iterative heuristic

- compute an initial layout
- apply the following steps as long as improvements can be made:
  1. vertex positioning,
  2. edge straightening,
  3. compactifying the layout width.

Example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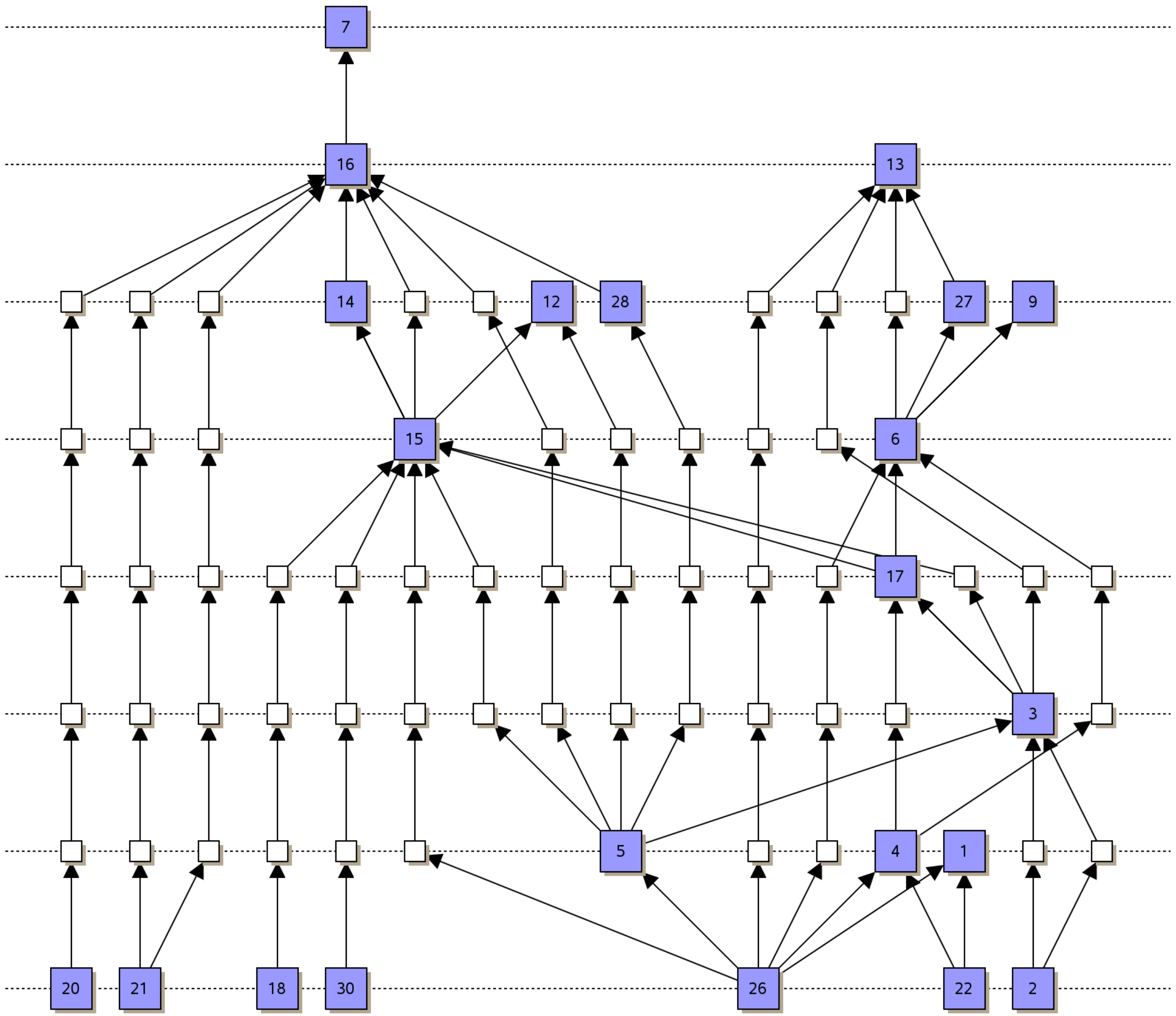
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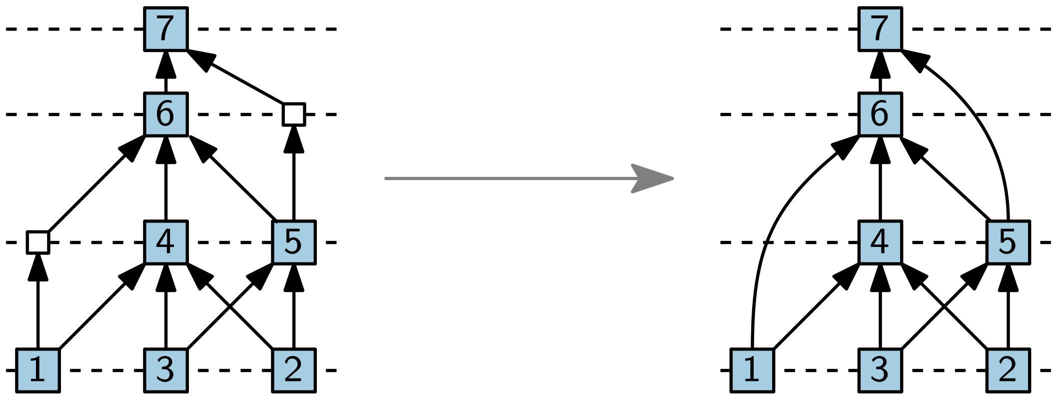
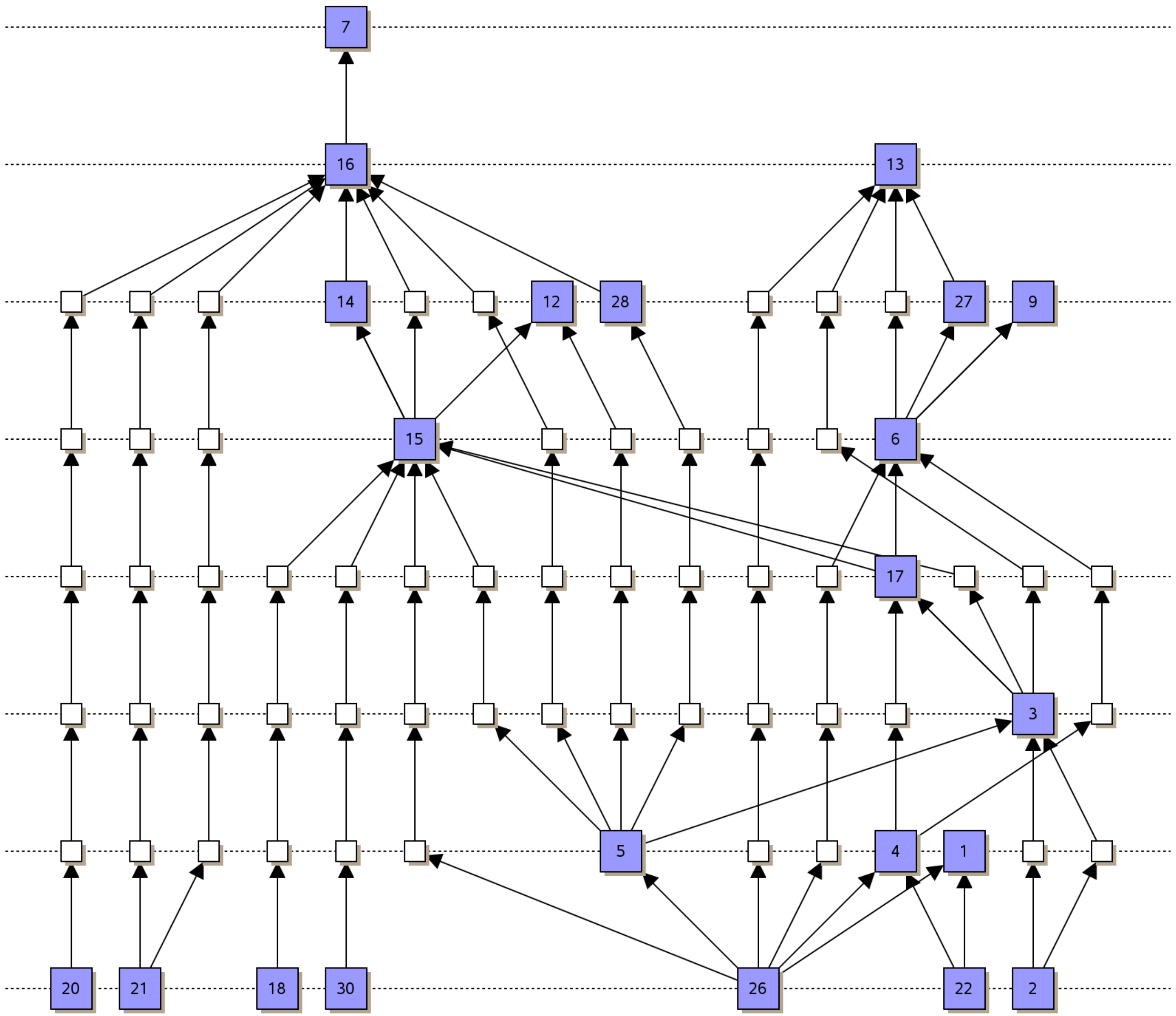
Step 5: Drawing edges

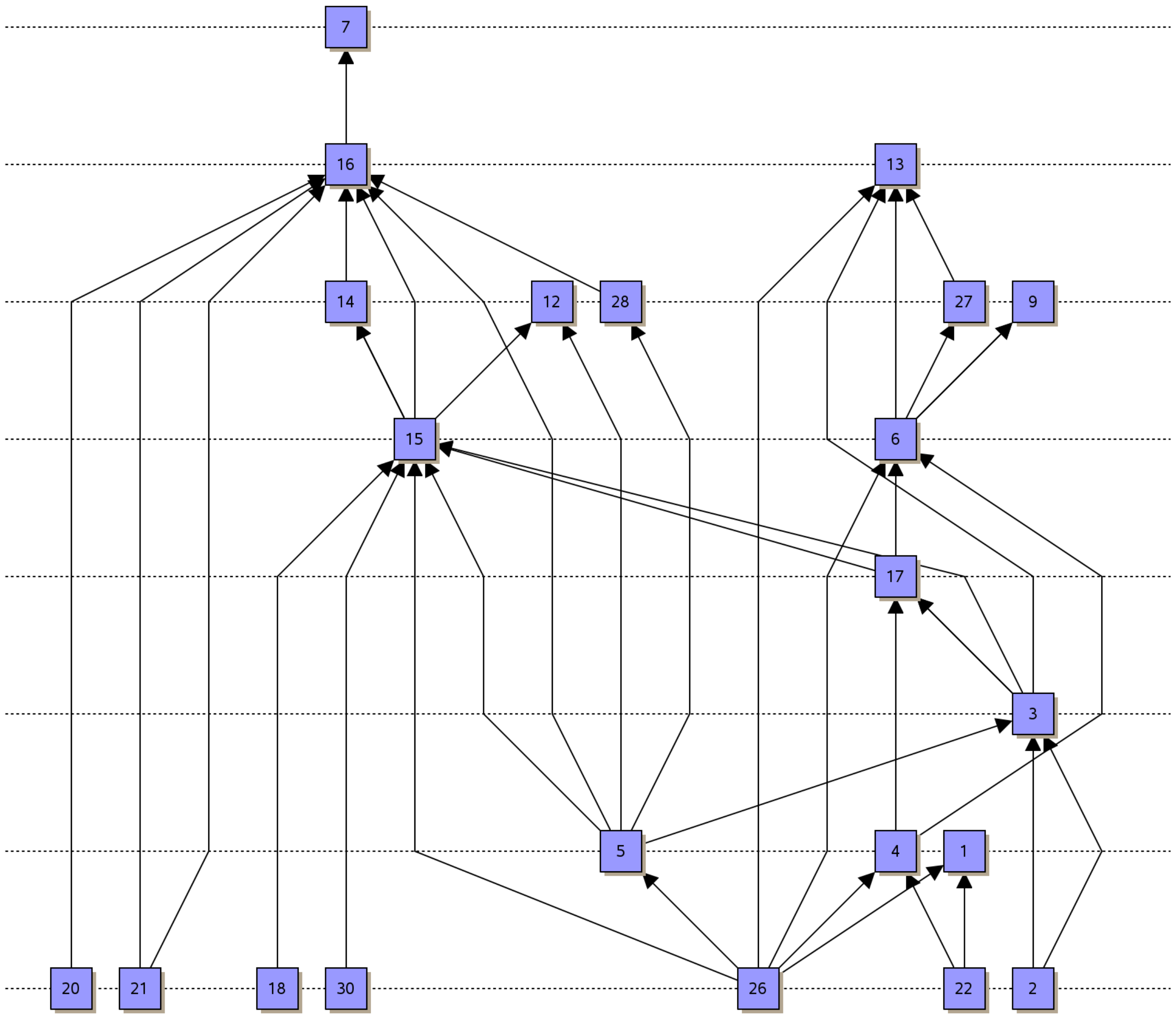


Possibility.
Substitute polylines by Bézier curves

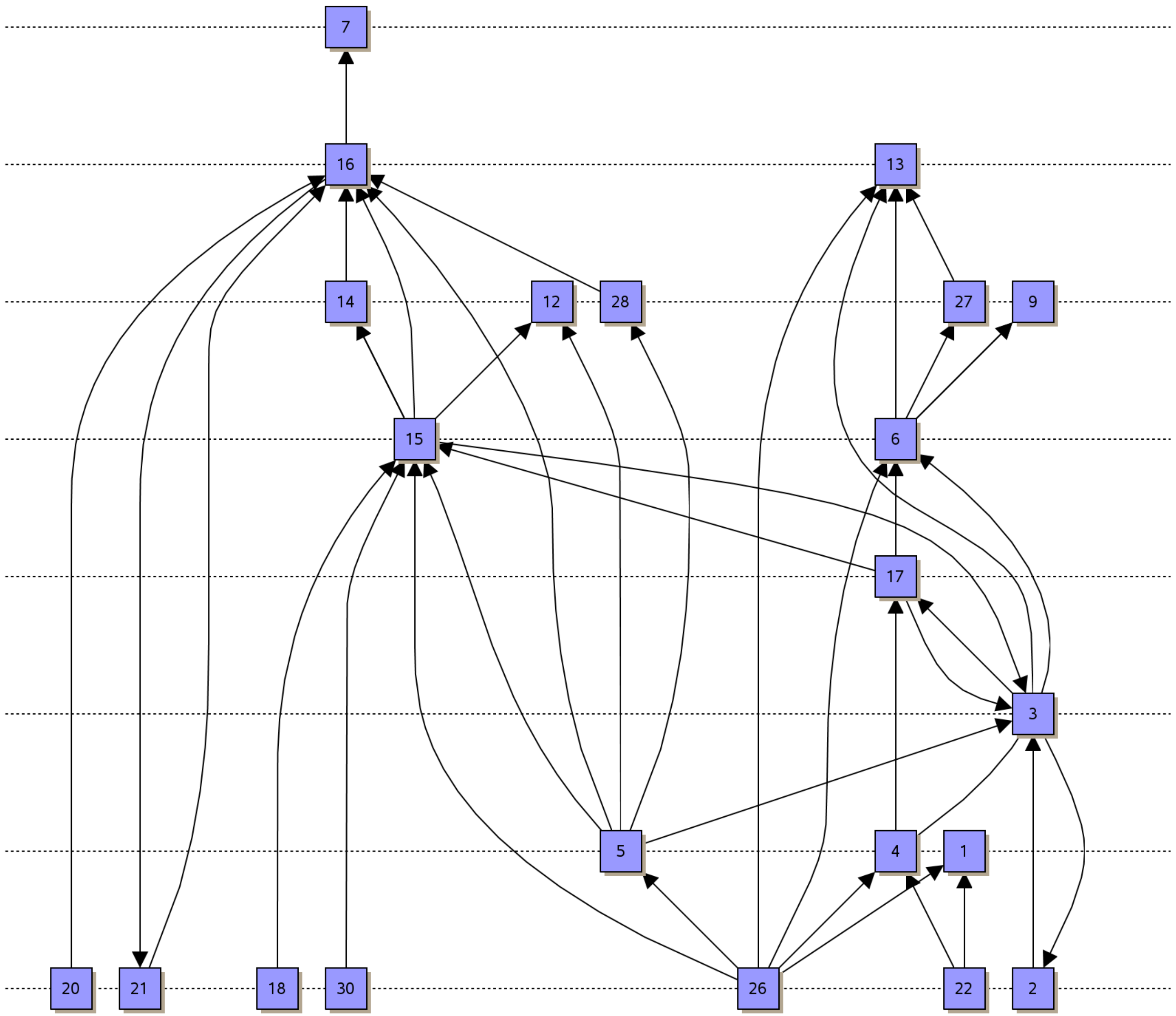
Example



Example

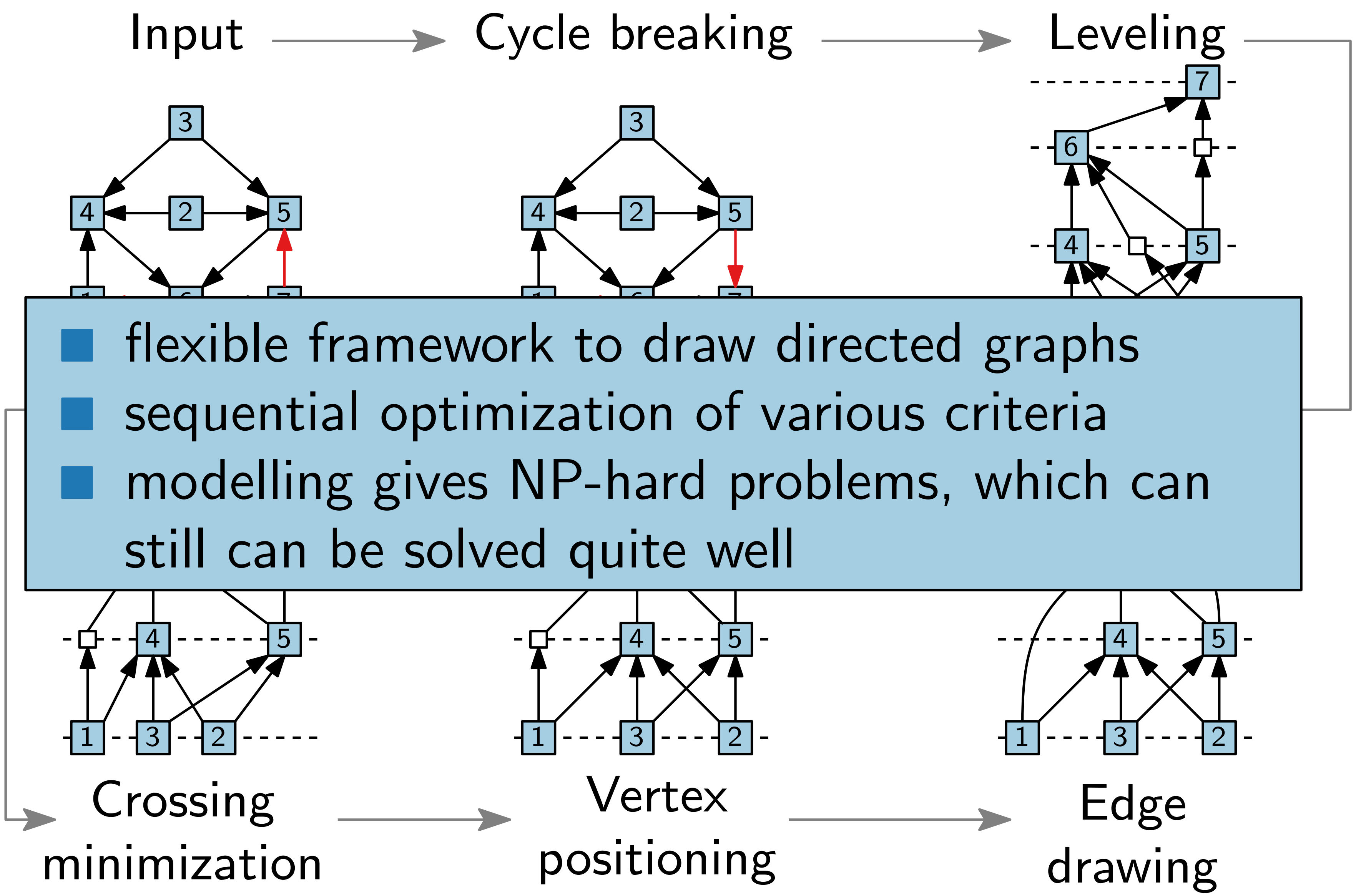


Example



Classical approach – Sugiyama framework

[Sugiyama, Tagawa, Toda '81]



- flexible framework to draw directed graphs
- sequential optimization of various criteria
- modelling gives NP-hard problems, which can still can be solved quite well

Literature

Detailed explanations of steps and proofs in

- [GD Ch. 11] and [DG Ch. 5]

based on

- [Sugiyama, Tagawa, Toda '81] Methods for visual understanding of hierarchical system structures

and refined with results from

- [Berger, Shor '90] Approximation alogorithms for the maximum acyclic subgraph problem
- [Eades, Lin, Smith '93] A fast and effective heuristic for the feedback arc set problem
- [Garey, Johnson '83] Crossing number is NP-complete
- [Eades, Whiteside '94] Drawing graphs in two layers
- [Eades, Wormland '94] Edge crossings in drawings of bipartite graphs
- [Jünger, Mutzel '97] 2-Layer Straightline Crossing Minimization: Performance of Exact and Heuristic Algorithms